# Homeland Security and Justice Grants for Counties – Are You Getting All You Can?

Tips and strategies for maximizing your county's funding from the Department of Homeland Security and the Department of Justice

Stronger Counties. Stronger America.



# **Today's Presenters**





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# **Agenda**



- Introductions
- Department of Homeland Security Overview
- Historical analysis of Homeland Security and Justice funding
- 2016-17 grant programs to watch
- Strategies for coordinating and maximizing funding
- Resources to help keep you informed





Department of Homeland Security (DHS)
Federal Emergency Management Agency (FEMA)
Grant Programs Directorate (GPD)

**National Association of Counties Webinar** 



# Our Mission

#### **FEMA's Mission:**

To support our citizens and first responders to ensure that as a nation we work together to build, sustain, and improve our capability to prepare for, protect against, respond to, recover from, and mitigate all hazards.





#### **FEMA Grant Programs Directorate:**

We manage federal assistance/grants to measurably improve preparedness capabilities, and reduce the risks the Nation faces in times of man-made and natural disasters.



## **Grant Focus Areas**

#### √ Homeland Security

•Ensures the combined expertise and capabilities of Federal, State, territorial, tribal and local governments, and community-based and private organizations are utilized effectively across all hazards planning and response



#### **✓** Transportation

 Enhances the security and preparedness of the Nation's ports of entry and mass transportation systems to protect the







#### √ Fire

•Provides equipment, resources and training to enhance fire departments' and community organizations' ability to protect the public and their staff from fire related hazards and injuries



# ✓ EmergencyManagement

Supports
communication
interoperability,
information sharing,
critical infrastructure
hardening, and
emergency management
resources and personnel



# Preparedness Expenditures

#### **Planning**

Assists grantees in developing policies and procedures to coordinate and execute tasks for all hazards management



#### **Exercises**

Fosters opportunities to demonstrate, evaluate, and improve the combined capability of the Nation's first responders and local communities





#### **Organization**

Ensures grantees possess the right resources, leadership, and organizational structure to best protect against major incidents

#### **Equipment**

Provides tools and materials to execute missions; without radios, generators, and rescue vehicles, emergency responders lack the means to carry out tasks





#### **Training**

Prepares the public and first responders to act in a coordinated fashion in a time of emergency

# Preparedness Grant Programs

✓ Homeland Security	✓ Transportation	√Fire	√Emergency Management
<ul> <li>Homeland Security Grant Program (HSGP)</li> <li>State Homeland Security Program (SHSP)</li> <li>Urban Areas Security Initiatives (UASI)</li> <li>Operation Stonegarden (OPSG)</li> <li>Tribal Homeland Security Grant Program (THSGP)</li> <li>Nonprofit Security Grant Program (NSGP)</li> </ul>	<ul> <li>Transit Security Grant Program (TSGP)</li> <li>Intercity Passenger Rail Security Grant Program (IPR)</li> <li>Port Security Grant Program (PSGP)</li> <li>Intercity Bus Security Grant Program (IBSGP)</li> </ul>	<ul> <li>Assistance to Firefighters Grants (AFG)</li> <li>Fire Prevention and Safety (FP&amp;S)</li> <li>Staffing for Adequate Fire &amp; Emergency Response (SAFER)</li> </ul>	<ul> <li>Emergency         Management         Performance Grants         (EMPG)</li> </ul>



# Grant Program Funding Summary

Program	FY 2015 Funding	FY 2016 Funding	Delta (\$)	Delta (%) (Delta \$ / FY15 Allocation)
State Homeland Security Program (SHSP)	\$402,000,000	\$402,000,000	\$0	0%
Urban Area Security Initiative (UASI)	\$587,000,000	\$580,000,000	\$7,000,000	1.19%
UASI Nonprofit Security Grant Program (NSGP)	\$13,000,000	\$20,000,000	\$7,000,000	53.85%
Tribal Homeland Security Grant Program (THSGP)	\$10,000,000	\$10,000,000	\$0	0%
Emergency Management Performance Grants (EMPG) Program	\$350,100,000	\$350,100,000	\$0	0%
Operation Stonegarden (OPSG)	\$55,000,000	\$55,000,000	\$0	0%
Port Security Grant Program (PSGP)	\$100,000,000	\$100,000,000	\$0	0%
Transit Security Grant Program (TSGP)	\$87,000,000	\$87,000,000	\$0	0%
Intercity Passenger Rail (IPR)	\$10,000,000	\$10,000,000	\$0	0%
Intercity Bus Security Grant Program (IBSGP)	\$3,000,000	\$3,000,000	\$0	0%
Assistance to Firefighters Grant (AFG) Program*	\$306,000,000	\$310,500,000	4,500,000	1.5%
Fire Prevention and Safety (FP&S) Program*	34,000,000	\$34,500,000	\$500,000	1.5%
Staffing for Adequate Fire and Emergency Response (SAFER) Grants Program*	\$340,000,000	\$345,000,000	\$5,000,000	1.5%
Total	\$2,297,100,000	\$2,307,100,000	\$0	0%

<sup>\*</sup> AFG, SAFER, and FP&S grants will be awarded in 2017



# Supplemental Preparedness Funding

- For FY 2016, Congress appropriated extra \$50 million in DHS budget "to address the emerging threats of violent extremism and from complex, coordinated attacks".
  - ➤ Countering Violent Extremism (CVE) Grants \$10,000,000
  - Complex Coordinated Terrorist Attack (CCTA) Grants \$39,000,000
  - ➤ Joint Counterterrorism Awareness Workshop Series (JCTAWS) \$1,000,000
- CVE and CCTA grant programs are currently in development.
- FEMA is developing these new funding initiatives in coordination with the new DHS Office of Community Partnerships.



### FY 2016 Emergency Management Performance Grant Program

Program Overview	FY 2015	FY 2016
■ Purpose: The Emergency Management Performance Grant program provides federal funds to assist state, local, tribal, and territorial governments in preparing for all hazards. The Federal Government, through the EMPG program, provide necessary direction, coordination, guidance, and assistance to support a comprehensive all hazards emergency preparedness system.	\$350,100,000	\$350,100,000
<ul> <li>Authority: National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), and Section 662 of the Post Katrina Emergency Management Reform Act, (6 U.S.C. § 762)</li> </ul>		
<ul> <li>Eligibility: All 56 states and territories; only the State Administrative Agency or Emergency Management Agency are eligible to apply for and administer FY 2016 EMPG funds.</li> </ul>		

#### **Program Highlights**

- All hazards emergency preparedness program
- Awarded to all 56 states and territories as well as the Republic of the Marshall Islands and the Federated States of Micronesia
- Funding allocated on a population share basis
- Two-year period of performance
- 50% cost share
- No specific pass-through requirement; 100% of funding must be directed to state EMA; sub-awards may be made to local jurisdictions
- States are required to submit a Work Plan to FEMA outlining their investment strategy.



#### FY 2016 HSGP – State Homeland Security Program (SHSP)

Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: The Homeland Security Grant Program (HSGP) is the primary funding mechanism for building and sustaining national preparedness capabilities. HSGP is comprised of three interconnected grant programs: State Homeland Security Program, Urban Area Security Initiative, and Operation Stonegarden.</li> </ul>	\$402,000,000	\$402,000,000
<ul> <li>Authority: Section 2002 of the Homeland Security Act of 2002, as amended (Pub. L. No. 107-296) (6 U.S.C. § 603)</li> </ul>		
<ul> <li>Eligibility: All 56 states and territories; only the State Administrative Agency is eligible to apply for and administer FY 2016 HSGP funds.</li> </ul>		

#### **Program Highlights**

- SHSP provides funding to states to build and sustain capabilities for the prevention of, protection from, response to and recovery from acts of terrorism and other catastrophic disasters
- Awarded to all 56 states and territories
- Funding distributed using risk based formula and statutory minimum amounts
- SHSP investments must align with THIRA and SPR
- State must pass through 80% of funding to local units of government
- State must spend 25% of the award on law enforcement terrorism prevention activities
- Three-year period of performance



#### FY 2016 HSGP – Urban Area Security Initiative (UASI)

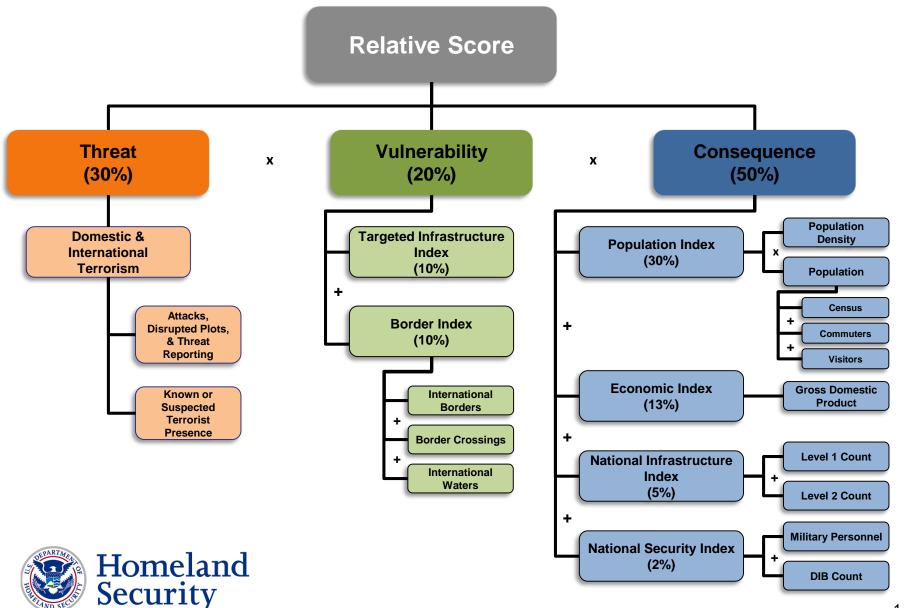
Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: The Homeland Security Grant Program is the primary funding mechanism for building and sustaining national preparedness capabilities. HSGP is comprised of three interconnected grant programs: State Homeland Security Program, Urban Area Security Initiative, and Operation Stonegarden.</li> <li>Authority: Section 2002 of the Homeland Security Act of 2002, as amended (Pub. L. No. 107-296) (6 U.S.C. § 603)</li> </ul>	\$587,000,000	\$580,000,000
<ul> <li>Eligibility: All 56 states and territories; only the State Administrative Agency is eligible to apply for and administer FY 2016 HSGP funds.</li> </ul>		

#### **Program Highlights**

- Provides funding to high risk urban areas to build and sustain capabilities for the prevention of, protection from, response to and recovery from acts of terrorism and other catastrophic disasters
- Risk-based formula used to determine eligible Urban Areas and distribution of funds 100 most populous metropolitan statistical areas assessed
- State must pass through 80% of funding to local units of government
- The 29 urban areas identified for FY 2016 funding represent 85% of nationwide risk according to the DHS risk formula. 28 urban areas were funded in FY 2015
- State must spend 25% of the award on law enforcement terrorism prevention activities
- Three-year period of performance



# FY 2016 State Homeland Security Program and Urban Area Security Initiative Risk Formula



### FY 2016 Operation Stonegarden

Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: Operation Stonegarden is intended to enhance cooperation and coordination among federal, state, local, tribal, and territorial law enforcement agencies in a joint mission to secure the United States borders along routes of ingress from international borders to include travel corridors in states bordering Mexico and Canada, as well as states and territories with international water borders</li> </ul>	\$55,000,000	\$55,000,000
<ul> <li>Authority: Section 2002 of the Homeland Security Act of 2002, as amended (Pub. L. No. 107-296) (6 U.S.C. § 603)</li> </ul>		
■ Eligibility: Eligible applicants include local units of government at the county level and federally-recognized tribal governments in the states bordering Canada (including Alaska), southern states bordering Mexico, and states and territories with international water borders. All applicants must have active ongoing USBP operations coordinated through a CBP sector office to be eligible for OPSG funding.		

#### **Program Highlights**

- Funding is awarded competitively based on the risk to the security of the border.
- Three-year period of performance applies.
- Funding is awarded to states and passed through to local government (county)

#### **FY 2015 Information**

- 22 states applied for and received funding in FY 2015 (17 states did not apply).
- Distribution of FY 2015 allocations 80% to the Southwest, 15% to the Northern, and 5% to the Coastal Border Regions
- Total number of Counties funded in: FY 2015: 143 FY 2012: 121 FY 2014: 140 FY 2011: 111

FY 2013: 126 FY 2010: 102



### FY 2016 Port Security Grant Program

Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: Port Security Grant Program provides funds for transportation infrastructure security activities to implement Area Maritime Transportation Security Plans and facility security plans among port authorities, facility operators, and state and local government agencies required to provide port security services</li> <li>Authority: Section 102 of the Maritime Transportation Security Act of 2002, as amended, (Pub. L. No. 107-295) (46 U.S.C. § 70107)</li> <li>Eligibility: Any entity subject to an Area Maritime Transportation Security Plan may submit an application, including port authorities, facility operators, and state and local government agencies required to provide port security services</li> </ul>	\$100,000,000	\$100,000,000

#### **Program Highlights**

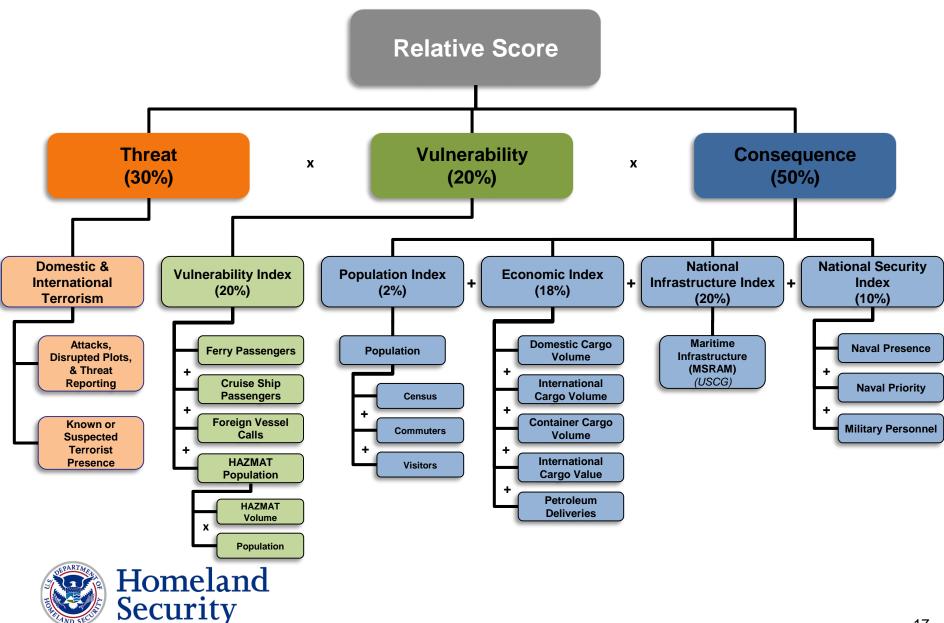
- Funding recommendations based on a scoring system that analyzes both project effectiveness and port risk.
- A 25 percent (with exceptions) cost share of non-federal funds is required.
- Three-year period of performance

#### **FY 2015 Information**

 A total of 500 applications (935 investments) were submitted to FEMA requesting over \$327.8 million in federal funding. Of the 935 investments reviewed 438 were funded.



### FY 2016 Port Security Grant Program Risk Formula



### FY 2016 Transit Security Grant Program

Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: Transit Security Grant Program provides funding to owners and operators of transit systems to protect critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies</li> <li>Authority: Section 1406 of the Implementing Recommendations of the 9/11 Commission Act of 2007 (Pub. L. No. 110-53) (6 U.S.C. 1135)</li> <li>Eligibility: Eligible transit agencies are determined based on daily unlinked passenger trips (ridership) and transit systems that serve historically eligible Urban Area Security Initiative jurisdictions</li> </ul>	\$87,000,000	\$87,000,000

#### **Program Highlights**

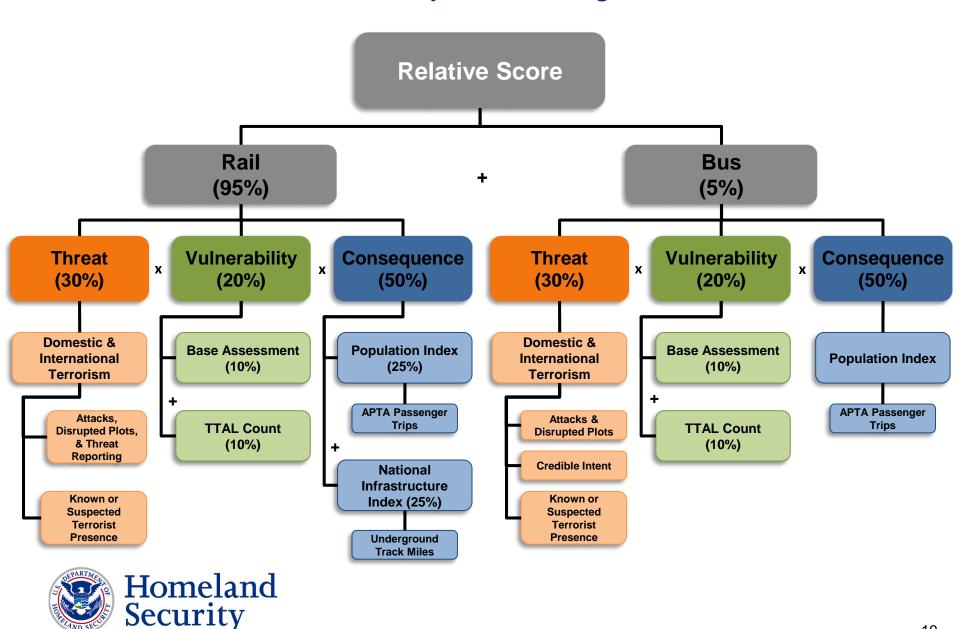
- Award allocations are based on transit system risk and project effectiveness ratings
- Three-year period of performance applies

#### **FY 2015 Information**

■ In FY 2015, 45 applications (158 projects) were received requesting \$338,236,737 in funding. Of this, 34 applications (87 projects) were funded totaling \$87,000,000.



### FY 2016 Transit Security Grant Program Risk Formula



### FY 2015 Assistance to Firefighters Grant (AFG) Program

Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: To enhance the safety of the public and firefighters with respect to fire and fire-related hazards by providing direct financial assistance to eligible fire departments, nonaffiliated EMS organizations, and State Fire Training Academies (SFTA) for critically needed resources to equip and train emergency personnel to recognized standards, enhance operational efficiencies, foster interoperability, and support community resilience.</li> <li>Authority: Section 33 of the Federal Fire Prevention and Control Act of 1974, Pub. L. No. 93-498, as amended (15 USC § 2229)</li> <li>Eligibility: Fire departments, non-affiliated EMS organization, state fire training academies</li> </ul>	\$306,000,000	\$310,500,000

#### **Program Highlights**

- Nationally competitive program
- Cost share is 5-15%, depending on size of population served
- The AFG program provides funding for the following activities:
  - Operations and Safety: Grant projects funded include, professional training, wellness and fitness programs equipment, personal protective equipment, modifications to facilities, and supplies that support firefighting and nonaffiliated EMS operations and safety.
  - Vehicle Acquisition: Eligible apparatus include, but are not limited to, pumpers, aerials, brush trucks, tankers/tenders, rescue vehicles, and ambulances.
  - Regional Grants: Projects in which multiple organizations (Fire and/or non-affiliated EMS) serving more than one local jurisdiction would benefit directly from the activities requested with grant funds.
  - > State Fire Training Academies: critically needed resources to protect the public, to train emergency personnel,

#### FY 2014 Information

 10,000 applications were received requesting over \$1.9 billion in funding. Of this, 2245 applications were funded totaling over \$304 million

# FY 2015 Staffing for Adequate Fire & Emergency Response (SAFER) Program

Program Overview	FY 2015	FY 2016
<ul> <li>Purpose: To provide funding directly to fire departments and national, state, local, or tribal organizations representing the interests of volunteer firefighters to assist them in increasing the number of firefighters to help fire departments meet industry minimum standards and attain 24-hour staffing to protect communities from fire and fire related hazards, and to fulfill traditional missions of fire departments.</li> <li>Authority: Section 34 of the Federal Fire Prevention and Control Act of 1974, Pub. L. No. 93-498, as amended (15 USC § 2229a)</li> <li>Eligibility: Career, volunteer, and combination fire departments; also, volunteer firefighter interest organizations</li> </ul>	\$306,000,000	\$310,500,000

#### **Program Highlights**

- Nationally competitive program
- The SAFER Grant Program is comprised of two categories:
  - > Hiring of Firefighters
  - > Recruitment and Retention of Volunteer Firefighters

#### **FY 2014 Information**

• In FY 2015, 1335 applications were received requesting nearly \$1.2 billion in funding. Of this, 254 applications were funded totaling \$340 million



# Threat and Hazard Identification and Risk Assessment (THIRA)

- A risk assessment process that helps the whole community understand its risks and estimate capability requirements.
- Helps communities map their risks to the core capabilities, enabling them to determine:
  - Desired outcomes
  - Capability targets
  - Resources required to achieve their Capability targets
- Helps inform a variety of emergency management and preparedness efforts.
  - Prioritization of capabilities
  - Capability needs & resource shortfalls
  - Preparedness investment priorities



# SHSP and UASI Governance

- Senior Advisory Committee (SHSP): Responsibilities include:
  - ➤ Integrating preparedness activities across disciplines and all levels of government
  - Creating a cohesive planning network
  - ➤ Management of all available preparedness funding sources
  - Ensuring applications for SHSP and UASI funds align with the capability gaps identified in the THIRA and SPR.
- Urban Area Working Group (UASI): Responsibilities of the UAWG include:
  - ➤ Coordinating the development and implementation of all program initiatives.
  - > States and Urban Areas must consider including counties within which the cities reside, contiguous jurisdictions, MSAs, operational areas, and mutual aid partners, as appropriate, in the governance process.
  - ➤ Coordinate with state in development of state THIRA and SPR (UAWG is encouraged to develop Urban Area THIRA)



### Resources

- Preparedness Grants Webpage
  - http://www.fema.gov/grants
- State Administrative Agency (SAA) Contact List
  - http://www.fema.gov/media-library/assets/documents/28689
- Authorized Equipment List (AEL)
  - http://www.fema.gov/media-library/assets/documents/101566



# Preparedness Funds Support Core Capabilities

https://www.fema.gov/core-capabilities

PREVENT	PROTECT	MITIGATE	RESPOND	RECOVER
Planning	Planning	Planning	Planning	Planning
Public Information and Warning	Public Information and Warning	Public Information and Warning	Public Information and Warning	Public Information and Warning
Operational Coordination	Operational Coordination	Operational Coordination	Operational Coordination	Operational Coordination
Forensics and Attribution	Access Control and Identity Verification	Community Resilience	Critical Transportation	Economic Recovery
Intelligence and Information Sharing	Cybersecurity	Long-Term Vulnerability Reduction	Environmental Response / Health and Safety	Health and Social Services
Interdiction and Disruption	Intelligence and Information Sharing	Risk and Disaster Resilience Assessment	Fatality Management Services	Housing
Screening, Search and Detection	Interdiction and Disruption	Threats and Hazard Identification	Infrastructure Systems	Infrastructure Systems
	Physical Protective Measures		Mass Care Services	Natural and Cultural Resources
Risk Management fo Protection Programs			Mass Search and Rescue Operations	
	and Activities  Screening, Search and		On-Scene Security and Protection	
	Detection  Supply Chain Integrity		Operational Communications	
	and Security		Public and Private Services and Resources	
EVARIMENT THE	MA	Public Health and Medical Services		
FLI	VIA		Situational Assessment	
			F1 10	1

Fire Management and Suppression

# DHS Authorized Equipment List

- The Authorized Equipment List (AEL) is published by the FEMA Grant Programs Directorate.
- The AEL serves as a guide for grant recipients, but is not all-encompassing and often grantee requests must be reviewed on a case-by-case basis.
- The Authorized Equipment List (AEL) was developed, in part, based on congressional intent to build anti-terrorism capabilities.
- Dual use permitted as long as items build anti-terrorism capabilities.
- Updated periodically to include additional equipment items at request of stakeholders.
- Weapons and ammunition have always been prohibited.



# Allowable Equipment Categories

- Personal Protective Equipment (PPE).
- Detection Equipment
- Decontamination Equipment
- Interoperable Communications Equipment
- Terrorism Incident Prevention Equipment
- Explosive Device Mitigation and Remediation Equipment
- Physical Security Enhancement Equipment
- Medical Supplies/Pharmaceuticals

- CBRNE Logistical Support Equipment
- CBRNE Incident Response Vehicles/ Aircraft/Watercraft
- CBRNE Search & Rescue Equipment
- CBRNE Reference Materials
- Agricultural Terrorism Prevention,
   Response & Mitigation
- Cyber Security
- Intervention Equipment
- Citizen Corps specific equipment



# **Contact Information**

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# **Justice Funding**



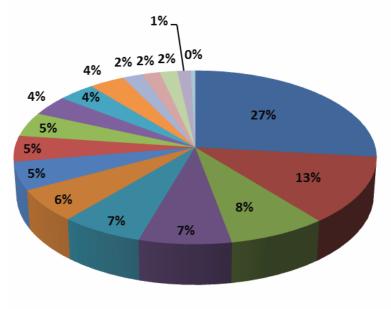




# **JAG Funding**



#### Byrne JAG Spending by **Project Type**



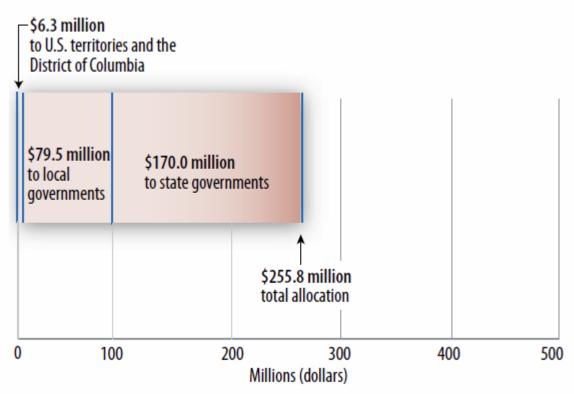
- Drug & Gang Enforcement
- Law Enforcement Equipment & Support Services
- Specialty Courts, Courts & Pretrial
- Law Enforcement Operations
- Information Sharing
- Community Corrections & Reentry
- Management, Administration & Personnel
- Prosecution
- Juvenile Delinquency & School Violence
- Prevention & Treatment Services
- Corrections
- Victims
- Crime Prevention
- Specialty Enforcement Initiatives
- Other
- Strategic Planning, Program Evaluation & Research
- Public Defense



# **JAG Funding**



#### Distribution of FY 2015 JAG awards



Note: Detail may not sum to total due to rounding.

Source: Bureau of Justice Statistics calculations based on data from the Uniform Crime Reporting program and the U.S. Census Bureau.



# BJA Byrne Memorial Justice Assistance Grants



Minimum base allocation for each state and territory, enhanced by:

- Population
- Part I violent crime statistics

Edward Byrne Memorial Justice Assistance Grants (JAG) – Local Program

- 40% must be allocated directly to units of local government
- Locals entities entitled to >\$10,000 can apply directly to BJA
- 2015 Deadline June 30, 2016

Edward Byrne Memorial Justice Assistance Grants (JAG) – State Program

- 60% must be allocated to states
- States can use money for state based projects
- States also pass-through some funds through to local governments
- Locals entities entitled to <\$10,000 can apply through state</li>
- C<sub>2</sub>2015 Deadline June 30, 2016



# **COPS Grants**





#### **CONTINUING PROGRAMS**

- Community Policing Development (CPD)
- COPS Anti-Methamphetamine Program (CAMP)

#### **NEW PROGRAMS**

- COPS Anti-Gang Initiative (CAGI)
- COPS Anti-Heroin Task Force (AHTF)



# **Notable BJA Grants 2016-17**



- Project Safe Neighborhoods
- Byrne Criminal Justice Innovation
- Smart Policing Initiative
- Technology Innovation for Public Safety







# Improving Criminal Justice Responses to Sexual Assault, Domestic Violence, Dating Violence, and Stalking Grant

- Administered by DOJ's Office on Violence Against Women (OVW)
- Formerly "Grants to Encourage Arrest Policies and Enforcement of Protection Orders"
- Focus on sexual assault, domestic violence, dating violence, and stalking
- \$50 million available
- Anticipated deadline: March 2017
- An February "Letter of Registration" is optional







# **Examples of Collaboration**



- Cross-agency collaboration
- Commitment from participating agencies
- Economies of scale, through partnerships, such as:
  - A regional managed system repository or service
  - Shared contracts for procurement
  - A single process for evidence submission, review and management across multiple law enforcement agencies
  - Multiple law enforcement agencies collaborating together on a single application (may increase agency size eligibility)
- Document Collaboration with MOUs, Letters of Commitment



# **Coordinating Funding Sources**





- 1. Assign and empower a single agency to act as a clearinghouse
- 2. Share project plans
- 3. Catalog and communicate opportunities
- 4. Track submissions
- 5. Report on progress



# **Data-driven Project** Development



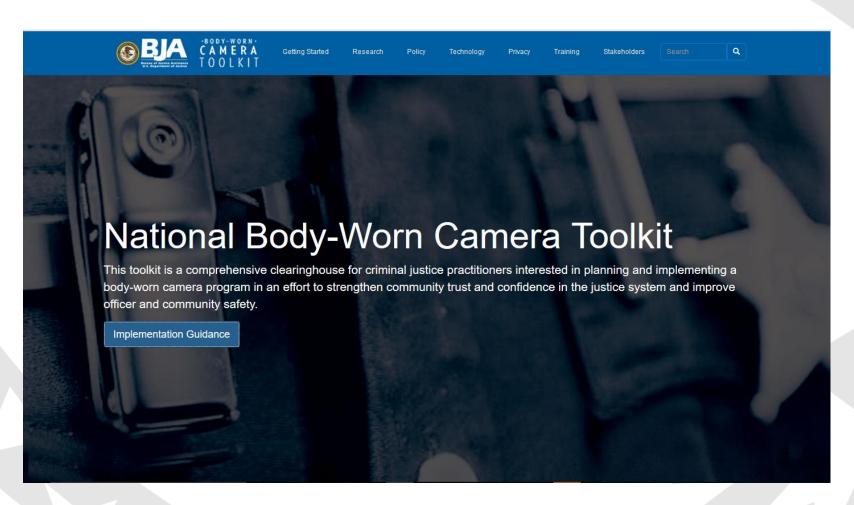
- First, define **all the data** you want to track
- Assign **responsibility** for tracking
- Create a data-focused governance structure
- Catalog data sources
- Contemplate **systems** needed to aggregate and analyze data
- Review the effectiveness of the system **regularly**
- **Update** as needed





# **Justice BWC Resource**





www.bja.gov/bwc



# **Useful Resources**



Resource	URL
DHS Grants	www.fema.gov/grants
DHS SAAs	www.fema.gov/media-library/assets/documents/28689
Federal Grants Clearinghouse	<u>www.grants.gov</u>
Office of Justice Programs	ojp.gov/funding/Explore/CurrentFundingOpportunities.htm
National Institute of Justice Grants	www.nij.gov/funding/Pages/welcome.aspx





# THANK YOU!

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