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Introduction

multigenerational poverty across the country. In 2015, areas with high-levels of poverty, whether or not they an individual to escape from the cycle of poverty that heightened during childhood.2

and communities, and as long-term economic investcognitive, linguistic and socio-emotional development adults, or those early years can be a hindrance to their later success.⁵ ECD programs begin the continuum

service provision with partners. The analysis examines ECD. The ECD programs featured in this report work to break cycles of multigenerational poverty and pre-(N.C.) and Bedford County (Pa.); these counties show-

"By age three, a child's brain has already grown to 80 percent of its full volume."

The Role of Counties in Early **Childhood Development**

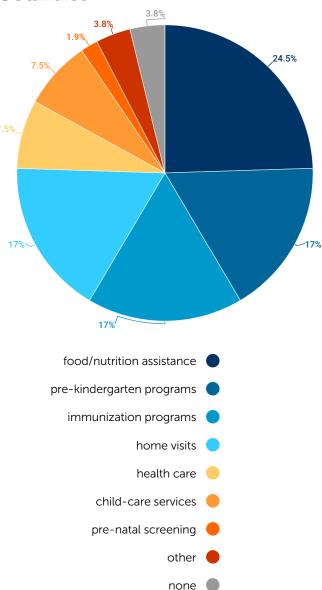
In both low- and high-income areas, counties play a significant role in ECD activities, which include a wide range of health, educational and child-care services for children, especially those aged 0-3 and their families. Some of these services start prior to a child's birth with prenatal screenings for expectant mothers; others include home visits to families with newborn babies or school preparedness up through when a child enters kindergarten. Pre-kindergarten educational programs, such as Early Head Start, are one example of programs designed specifically for children aged 0-3. These types of programs focus on the social, physical and emotional development of young children.⁷

According to a 2017 NACo survey of state associations of counties (referred to as the "NACo survey" in this report), the number one ECD service that counties provide is food and nutrition assistance.8 Other county services that respondents mentioned include pre-kindergarten programs, home visits, health care and child-care services (see Figure 1). An overwhelming majority of respondents indicated that their states do not mandate these services. Of the states that mandate these services, most dictate that counties must provide food and nutrition assistance, childcare services and health care.9

"The number one ECD service that counties provide is food and nutritional assistance.

Figure 1:

Most Prevalent Types of ECD Programs Administered by Counties



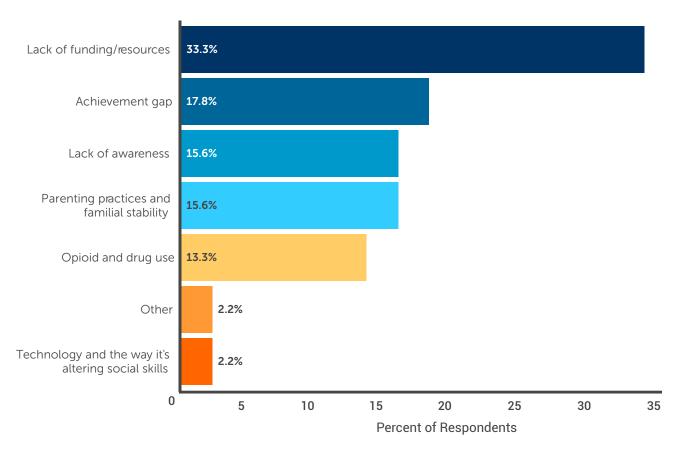
Source: NACo poll of state associations of counties, August 2017

Note: Figure 1 represents the percent of respondents who indicated that counties in their state provide that type of ECD program. The delivery of the ECD services by counties varies both across and within states. In some cases, counties are responsible for designing, delivering and administering these services on their own. For example, Los Angeles County, Calif. established the "Steps to Excellence Project" (STEP) in 2007, which is a child-care quality rating and improvement system. As part of STEP, parents receive information on the quality of child development programs within the county. Additionally, child-care facilities that serve children younger than 5 are provided with workshops and fiscal incentives to improve the level of services they provide.10

Counties also partner with other governments, nonprofits, philanthropic groups or private sector companies to deliver ECD services. "Read with Me" program in Hamilton County, Tenn., is such an example. The program aims to improve literacy rates for young children by promoting reading. The county operates the "Readmobile," a van that travels to locations throughout the county to engage children in read-aloud sessions. 11 The county partners with the county's school district and area day care centers to offer incentives and rewards that encourage young children to read and prepare for kindergarten.¹²

Some counties are the delivery arms of federal programs focused on ECD. For example, counties in at least 33 states administer food and nutrition assistance through the federally-funded Women, Infants and Children (WIC) program.¹³ This program offers benefits, such as supplemental food and nutrition screenings, to low-income populations. Counties in ten states administer benefits through the Temporary Assistance to Needy Families (TANF) program, which provides cash assistance to needy families so that parents can better care for their children and maintain stable two-parent family structures.¹⁴ Other examples of federal programs that counties administer vary from the Supplemental Nutrition Assistance Program (SNAP), which provides nutritional assistance to millions of low-income individuals and families to Child Care Development Block

Figure 2: Major Challenges for ECD Services



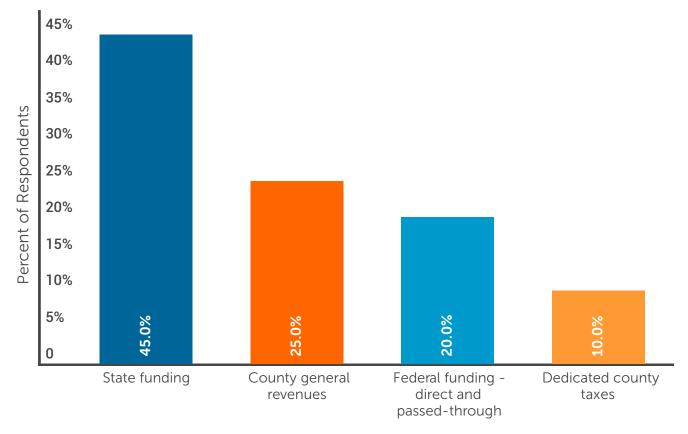
Source: NACo poll of state associations of counties, August 2017

Grants (CCDBG), which help low-income families receive child-care services. Because of their proximity to the communities and families these federal programs serve, county governments are the most effective delivery arm for these programs on the ground.

Despite the prevalence of ECD programs, significant challenges exist for counties in delivering ECD services. The number one challenge facing county ECD programs is a lack of funding, according to the NACo survey (see Figure 2).15 Most often, the main source of funding for county ECD services is state funding (see Figure 3).16 State funding, however, is not sufficient. For example, Wisconsin has not increased its children and family aids allocation in nearly a decade, despite an increased need for child and family services.¹⁷ Some counties fund the ECD programs with general fund money or through dedicated taxes. The caps that states place on counties' abilities to raise revenue, however, makes the general funding option increasingly difficult.18

"The number one challenge facing county ECD programs is a lack of funding and resources."

Figure 3: Funding Sources for County ECD Services

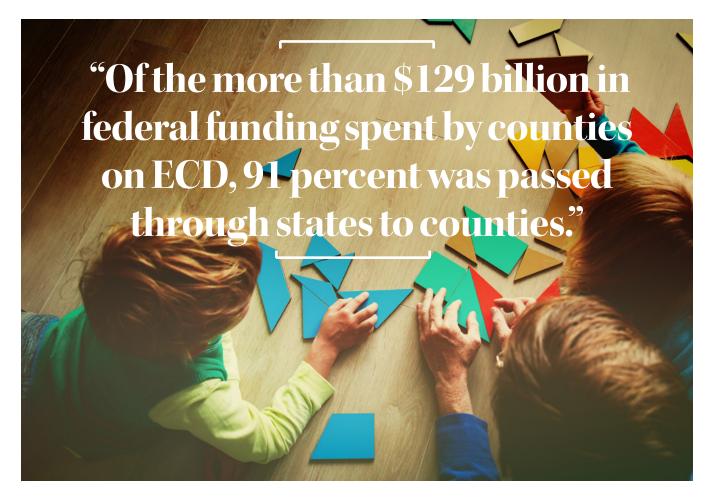


Source: NACo poll of state associations of counties, August 2017

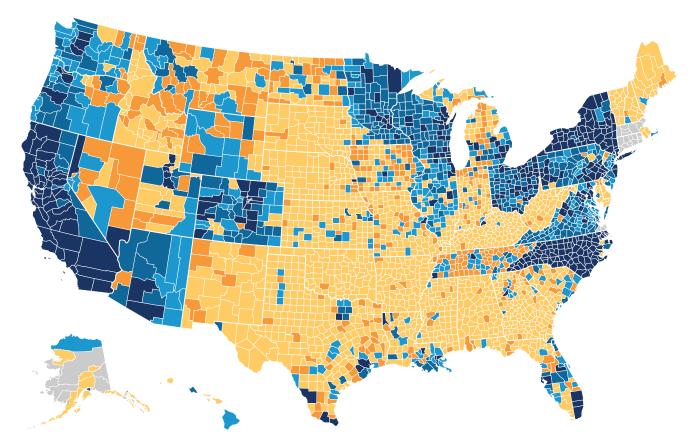
Some counties created special districts that fund and deliver ECD services. Nearly one-third of responding state associations to the NACo survey said that counties in their state use children's services councils.19 For instance, Palm Beach County, Fla., put on the ballot in 1986 a proposal to create an independent special district dedicated to children services, which would fund early intervention programs for young children ranging from parenting classes and maternal nutrition support to child care and early childhood screenings.²⁰ The Children's Services Council of Palm Beach County, currently funded by a 0.6833 millage rate, was approved by voters in 1986 and last reauthorized by voters in 2014. In fiscal year 2015-2016, the Council served nearly 27,000 children and families through their Healthy Beginnings maternal/child health programs, over 38,000 through child care and after school programs and nearly 72,000 through special initiatives and other outreach efforts.²¹

With lack of funding as the number one challenge for county ECD services, strengthening the funding partnership between the federal government, states and counties is crucial.

Federal funding plays an important role in the ECD services provided by counties. Between 2013 and 2015, more than 1,500 counties invested over \$129 billion of federal funding in services that affect young children and their families.²² This amount represents only a portion of federal funding for county ECD services; it just includes counties that, in total, used more than \$500,000 in federal dollars during any year between 2013 and 2015. Nearly 50 federal grants fund programs for young children, varying from grants such as CCDBG - which includes funds for childcare subsidies for lower-income families - to programs that impact them and their family wellbeing, such as the Section 8 Housing Choice Vouchers.²³ One third of the federal grants that affect infants, toddlers and their families are also programs for low-income populations.²⁴ Most often, counties receive this funding through the state (91 percent), which makes it difficult for them to differentiate between state and federal dollars for county ECD services.

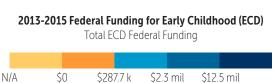






Source: NACo analysis of Federal Audit Clearinghouse Data, 2013-2015.

Notes: This analysis reflects only county governments that invested more than \$500,000 in federal funds annually for at least one year between 2013 and 2015. Counties marked in yellow reflect county governments that did not file a single audit report between 2013 and 2015. Amounts as reported by the county government in the Single Audit report submitted to the U.S. Office of Management and Budget (OMB).



The top three programs funded by the federal government to counties for ECD services are classified as health and human services. Medicaid serves several demographics, including low-income children, and is the largest federal grant program that targets ECD, with more than \$85 billion distributed to 1,000 counties between 2013 and 2015.25 Over 870 counties reported benefiting from more than \$17 billion in TANF during that same period.²⁶ TANF gives needy families assistance to pay for food, utilities and other non-medical expenses.²⁷ Between 2013 and 2015, more than 900 counties reported benefiting from \$6.5 billion for the Title IV-E Foster program.²⁸ Title IV-E provides care for children in the foster system before they are reunited with their family, adopted or otherwise placed with other agencies.²⁹ In total, these three grants represent 85 percent of the federal funding for ECD to the more than 1,500 counties that report spending federal dollars on ECD.30

Counties provide ECD services that are critical for low-income families and children to break the cycle of poverty. However, state limitations on counties' abilities to raise revenue - coupled with federal and state mandates - make it a challenge for counties to generate adequate funding for their ECD programs. Funding from federal programs and state revenues are insufficient to meet the growing needs of these programs. By sharing the provision of early childhood services, counties can increase efficiencies and decrease costs while maintaining the high level of quality services families and children need.

Birth to Age Eight Collaborative **Initiative**

Dakota County, Minn.

Other Entities Involved: Four school districts, 360 Communities, Community Action Partnership (CAP)

2016 Population Level: 417.5k **2016 Unemployment Rate:** 3.4%

2016 Average Real Wages, in **2009** Dollars: \$51,849

2015 Child Poverty Rate: 9.1%

Interviewee: Dr. Bonnie Brueshoff, Director, Dakota

County Public Health Department



CONTEXT: In early 2013, Dakota County, Minn. began looking for ways to serve area children in a more efficient and impactful way. The county and its school districts had a variety of programs designed to serve children and families, but these programs operated independently of each other, and this disconnect caused inefficiencies in service provision. The county's public health department took the lead and worked with four school districts to form a model for ECD that considered all programs serving children from birth up through third grade. The county and schools agreed on a vision that would bring every third grader to a proficient reading level, based on research showing that children who arrive at a proficient reading level by third grade have a much higher chance at academic and economic success later in life.31 Students from low-income families, however, are less likely to arrive at a proficient reading level by third grade because they often enter kindergarten ill-prepared, reinforcing the link between ECD and later educational attainment levels.32

SOLUTION: The "Birth to Age Eight Collaborative Initiative" (referred to as the "Initiative") was developed in 2014 as part of a county board goal to coordinate early childhood services between the county and school districts so all children can begin school ready to succeed. It is a pilot program with four of the county's ten school districts, with the goal to scale up to incorporate the entire county. The Initiative, led by Dakota County's Public Health Department, is a collaboration between Dakota County Public Health, Dakota County Community Services, Dakota County Social Services, four school districts and two nonprofits: 360 Communities and Community Action Partnership (CAP). Leadership meets quarterly to make decisions and adopt recommendations from the Birth to 8 Steering Committee, made up of representatives from these organizations.

The Initiative includes many different services for families and children provided by the county and school districts. For example, the county offers a home visiting program and administers the federal WIC program. The county receives over \$4 million from WIC, all of which is passed-through the state. The school districts provide an early childhood screening to three-year old children and a kindergarten readiness assessment to children about to enter school. The districts also have child development checklists for parents to follow before and after the child enters kindergarten. Through the Initiative, the county and school districts have not developed any new programs, but rather have begun coordinating these existing programs and sharing information associated with them to have continuous data for children from birth up through third grade.

In this continuum of services, the county will track key developmental milestones to determine whether a child is on track and developing properly or at risk and in need of further intervention. Examples of these mile-

stones are the health of the child and mother at birth; developmental, speech and motor skills of children up to age three; kindergarten readiness; and reading tests while in school. These milestones are checked during home visits, the schools' early childhood screening, the schools' kindergarten readiness assessment and in the classroom. Families in the Initiative are referred to these various services so their children can be checked at each milestone, and children who are struggling to meet developmental goals are given additional resources by the county or schools, depending on need. Currently, Dakota County, Minn. is working to develop a technological platform to track these milestones and expect work to begin in 2018.

According to Dr. Bonnie Brueshoff, director for the Dakota County Public Health Department, the Initiative specifically targets families who are at risk. Participation is voluntary, and families are identified and referred to the Initiative primarily in three ways. First, the Minnesota Department of Health provides birth certificate information to the county health department. The county reviews this information and contacts young mothers or families with identified risks, such as a baby with a low

birth weight, to reach out and enroll them in their home visiting program. During these home visits, county Public Health Nurses make sure to refer residents to other services connected to this Initiative, such as the schools' early childhood screening.

Second, families receiving services through the WIC program will be asked if they would like to be referred to school districts who are part of the Initiative. Dakota County worked with its attorneys for nearly nine months to develop a consent form that allows them to share family contact information with the county's school districts, so the schools can then be intentional about reaching out to the family and offer their services. Most WIC families have been willing to give their contact information to school districts.

Third, families that participate in any English Language Learners (ELL) programs that the school districts provide are a focus of this Initiative. Both the county and the schools want to make sure that all families understand the services available to them and their children. Families involved in ELL programs can often be left out because of the communication barrier.

"Start small with pilot projects to identify strengths and weaknesses, then scale up."

- Dr. Bonnie Brueshoff, Director, Birth to Age Eight Collaborative Initiative.

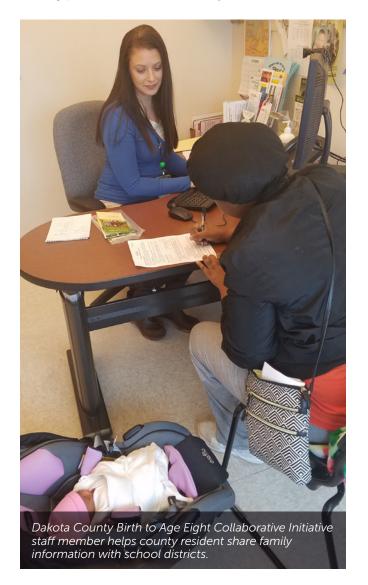


Most of the funding for the Initiative is in-kind, leveraging existing county and school funds, mainly from the Dakota County Public Health Department. In 2016, the Initiative estimated its cost of in-kind support to be roughly \$27,000.33 Grant funding from foundations provided the resources the program needed to hire a consultant and launch the program, but reliance on grants and external consultants has decreased as the program has grown. The state of Minnesota provided funding for the program through a grant to develop the "Birth to 8" technology platform for tracking developmental milestones.

OUTCOMES: With its investments in ECD, Dakota County has been able to double the number of children that the school districts were able to reach and successfully engage in programs, compared to the previous school year in 2016. From May to December of 2016, the Birth to Age 8 Initiative referred 364 families from the county's WIC program to schools, three-quarters of whom would not have been contacted by the school without the referral. Fifty-six percent of these families were unknown to the school districts, and another 19 percent had incorrect addresses in the school's system. After the schools contacted these families, many of children were enrolled in preschool or in Early Childhood Family Education, and many received early childhood screenings who would not have otherwise. Because of this Initiative, the schools have been able to better engage families and children before entering kindergarten. An early success of this Initiative has been the ability to minimize the number of "kindergarten surprises" i.e., children who are unknown to the school until the first day of kindergarten. Other counties in Minnesota have now begun looking at how they can replicate this model, and the Initiative's leadership has done several presentations and spoken with various Minnesota cities and counties.

According to Dr. Brueshoff, the Initiative has been successful due to the committed leadership participation of all partners and its scaled implementation. Starting with four of the county's ten school districts as a pilot has helped to establish processes and procedures that are effective. Using this smaller group of schools as a pilot allowed collaborators to identify strengths and weaknesses, as well as the best way to address these for the future rollout to the entire county. For other counties looking to implement a similar program, Dr. Brueshoff recommends starting small and scaling up, and be sure that all levels within the organization are willing to commit the time and energy to work on this issue.

The Initiative has also succeeded because, rather than trying to create new programs, it focused on being more intentional with outreach to families and better collaboration among existing programs, thereby allowing different partners to leverage resources and expertise already available. One major challenge for the Initiative was navigating Minnesota's data privacy laws to share information between the county and school districts; however, the Initiative worked with the county's attorneys to develop proper consent forms to address this challenge. The Initiative also had success working with the Minnesota state legislature to expand existing data sharing provisions between county and schools.



Idaho North Central Public Health District

Latah, Idaho, Lewis, Clearwater and Nez Perce Counties, ID

Other Entities Involved: Idaho State Department of

Health and Welfare

2016 Total Population Level: 108.1k **2016** Average Unemployment Rate: 5.3%

2016 Average Real Wages, in 2009 Dollars: \$33.9k

2015 Average Child Poverty Rate: 21.4%

Interviewee: Ms. Carol Moehrle, District 2 - North

Central Public Health Director



CONTEXT: In the 1970s, Idaho's 44 counties were in a financial crisis and could not afford to pay for public health services on their own. As a result, they looked toward their neighboring counties and together, the counties asked the state to form public health districts. The state legislature divided the counties into seven public health districts and agreed that if the counties would contribute 40 percent of the funding for these public health districts, the state would provide the other 60 percent.

SOLUTION: Idaho's North Central Public Health District (referred to as "the District") consists of Latah, Idaho, Lewis, Clearwater and Nez Perce Counties. The District's Board of Health, comprised of a commissioner from each of the five counties and two representatives of the medical community, governs the District, which acts as an independent agency to provide public health services to residents of all five counties. The District began working on ECD in 2014 when it received a federal grant from the Health Resources and Services Administration's (HRSA) Maternal, Infant and Early Childhood Home Visitation (MIECHV) program to develop the "Parents as Teachers" model for home visiting in the two most at-risk counties under its jurisdiction. Once the Board of Health recognized the model's success, in 2016, the counties collectively committed funding to expand the program to the other three counties.

All five counties in the District now offer home visiting services to their residents using the "Parents as Teachers" model with nurses. The program specifically targets low-income families as one of the sixteen target populations. In the model, parents receive training on a variety

"Cultivating trust is key for any shared services initiative."

- Ms. Carol Moehrle, District 2 Public Health Director.

of topics, from health and nutrition to parenting skills, through home visits, group sessions and a network of resources. During the home visits, nurses also administer screenings, such as "Ages and Stages Developmental Screenings" and the "Adverse Childhood Experience Survey" (ACES), to identify high-risk parents and children. "Ages and Stages" aims to catch developmental delays in their infancy to help address concerns before they become more challenging, and ACES aims to break the cycle of multigenerational poverty that can occur when parents struggle to raise their children because of trauma they had as children.

The services that the District offers are voluntary, and families can become connected to the home visiting program through different paths. Hospitals and physicians refer new mothers and pregnant women to the program if they see any signs to indicate that either the woman or the child is at risk. Child Protective Services (CPS) also refers families to the program, and parents can regain custody of their children by attending parenting classes. Another part of the program includes visiting parents in prison before they are released.

To provide the home visits, parenting classes, childhood screenings and other services, the District has its own separate budget, which totaled \$4.8 million in FY2016. The District receives funding from the counties, the state and the federal government. Each county in the District contributes funding from its general fund based on both its tax base and population size; the state then contributes general funds in a 40/60 match. From the federal government, the District received a grant from

Idaho North Central Public Health District resident learns to read through District ECD program

HRSA for ECD. Alongside these funding streams, the District also receives funding for other programs from contracts and fees, which comprise approximately two-thirds of its total revenue. To collect these fees, the District bills insurance companies for the services they provide residents.

OUTCOMES: As a result of the District's ECD efforts, the program served 92 families in 2016 - 80 of which were unemployed or underemployed. In total, the program provided 728 personal visits in 2016. Also in 2016, the District served 14 families referred by CPS, nine of which had a history of drug use. Now, eight of those nine families are active in the program, clean from drug use and with regained custody of their children.

Idaho's North Central Public Health District serves low-income families in five rural Idaho counties. One challenge the counties face is that, although families should be in the program for a full three years to receive the most benefit, low-income families in the area tend to move frequently, and the District can only serve them if they remain within the five counties. It has also been difficult for the District to document its return on investment fully, because of both the movement of families and the long-term nature of investing in ECD. Hence, all five counties must remain committed to long-term success to keep their early childhood programs running. A challenge in running a regional Public Health program is building trust between counties. It is expected that each commissioner on the Board of Health would want more services directed toward his or her county, so it takes time for them to trust that the District is dividing its services according to need, which changes from year to year. Additionally, the counties have had to learn to trust each other by giving up some control of public health services and programs.

In response to these challenges, Ms. Carol Moehrle, District 2 Public Health Director, explained that cultivating trust is key for any shared services initiative. For her, rural counties across the country should learn to work with and trust their neighbors. Rural counties can often save taxpayer dollars and provide higher-quality services to residents simply by giving up some control and sharing services. For counties in other states, Ms. Moehrle advised that counties do not need a full shared public health system to start sharing services, but can begin with smaller steps and discussions of how they can avoid duplicating efforts.

Cuyahoga County Invest in Children

Cuyahoga County, Ohio

Other Entities Involved: The Cleveland Foundation, The Alcohol, Drug Addiction and Mental Health Services Board of Cuyahoga County (ADAMHSCC), Cleveland Department of Public Health, Bright Beginnings, Family Connections, Starting Point.

2016 Population Level: 1.2 Million **2016 Unemployment Rate:** 5.4%

2016 Average Real Wages, in 2009 Dollars: \$58,766

2015 Child Poverty Rate: 26.2%

Interviewee: Dr. Rebekah Dorman, Director, Cuyahoga

County Invest in Children



CONTEXT: In 1999, Cuyahoga County's commissioners took on the issue of welfare reform amidst new research on the development of infant brains that stressed the importance of a child's development during their earliest years.³⁴ With lead funding from the Cleveland Foundation and many other local foundations, totaling approximately \$40 million, the county's commissioners launched "Invest in Children" to focus the county's efforts on ECD.³⁵ ECD became an even greater focus in 2015 when Cuyahoga County Executive Armond Budish redirected \$10 million of county funds to the program and raised an additional \$12 million of private funds with the help of PNC Bank.

SOLUTION: According to Dr. Rebekah Dorman, Director of Invest in Children, Cuyahoga County, Ohio is one of the few counties in the country with a dedicated Office of Early Childhood, which manages and oversees the work of "Invest in Children". The program provides an array of services, including home visiting programs for prenatal to kindergarten, early childhood mental health services, universal preschool and services to help improve the quality of child care in centers and licensed family child-care homes. The universal preschool (UPK) program makes up the largest portion of services provided, serving approximately 4,900 children - most of whom are from low- and moderate-income families.

"Invest in Children" is a partnership with the private sector and nonprofit groups. To provide services to residents, the initiative contracts with lead agencies, both public and private, including: Alcohol Drug Addiction Mental Health Services Board, Cleveland Department of Health, Cuyahoga County Board of Health, Bright Beginnings, Family Connections and Starting Point. The program contracts with these lead agencies, which provide some services but, in some cases, lead agencies subcontract with other community agencies to deliver the services. Through "Invest in Children," the county provides oversight to the services using formal contracts with each lead agency. By including private sector financial support, "Invest in Children" is able to leverage a greater pool of resources than it would be able to if the initiative used only public funds. Engaging the private sector also provides a measure of long-term stability.

The UPK program of "Invest in Children" for children ages 3-5, which began in 2007, is one exception to the model. Rather than contract out to a lead agency, "Invest in Children" manages this service and then contracts directly with high quality child-care providers across the county including an array of public preschools, private preschools, Head Start programs and family child-care homes. The tuition rates for preschool are set by each program, and some programs, like Head Start or public preschools, are free to eligible families. "Invest in Children" provides scholarships based on income for families with incomes up to 400 percent of the federal poverty level.

"Invest in Children" had a budget of approximately \$13 million in FY2016. Its primary source of funding comes from Cuyahoga County's two health and human services (HHS) levies, which voters must approve periodically. The first HHS levy was last approved in 2013 and will be up for renewal in 2018, while the second HHS levy was passed in 2016 and will last until 2024.³⁶ Combined, these HHS levies totaled nearly \$238 million. A variety of foundations in the area contribute grant funding to the program, too, but this funding has ebbed and flowed with the economy. Private funding has funded most of the evaluations for "Invest in Children", and has also been critical for program innovation and expanding existing programs. The UPK program also received a grant in 2016 from the U.S. Department of Education to conduct a feasibility study to determine whether a "Pay for Success" financing model to sustain the program is possible.

OUTCOMES: Independent evaluations of programs under "Invest in Children" are conducted by Case Western Reserve University. An integrated longitudinal data system which holds information on every child born in the county since 1992 was created at the Center for Urban Poverty and Community Development both to allow rigorous evaluation studies and to track community level child wellbeing indicators. Over the years, many evaluation studies have been conducted to assess program impact and to enhance program outcomes. The following are just a few successes of the programs under "Invest in Children."37

The Special Needs Child Care program – which provides technical assistance to providers to maintain children with developmental, medical and/or behavioral challenges in their child-care setting - has demonstrated that 6 months after the assistance has been provided, over 80 percent of the children are still within that same child-care setting.

Children enrolled in the UPK program showed statistically significant gains in school readiness over the course of the year on all five subscales of the Bracken School Readiness Assessment. Children with the lowest performance on the first Bracken assessment in the Fall show the greatest gains. Children who entered the Cleveland School District from a UPK site scored on average, three points higher on the Kindergarten Readiness Assessment - Literacy (a mandatory assessment in Ohio). That three-point gain represents a 36 percent greater chance of passing the Third Grade Reading Assessment than the comparison group.



The majority of parents of children receiving Early Childhood Mental Health Services reported that they were pleased with the progress made by their child and family. Most parents reported that their child was doing better, their family was doing better and their relationship with their child had improved. Overall, respondents reported that the program helped them deal with their child and family issues.

The economic downturn in 2007-2008 posed the first funding challenge to the initiative since its inception: some state funding streams were reduced or eliminated, and foundations became more restrictive in grantmaking. To overcome this challenge, the initiative identified new funding streams to support key programs and created a different relationship with foundations by making specific grant requests, rather than requesting grants for general operating funding.

With Cuyahoga County's change in 2011 from a Board of County Commissioners form of government to a charter form of government led by an Executive and County Council in 2011, "Invest in Children" needed to familiarize new leaders with its work and impact. The strong track record of high-quality service delivery and evaluation studies was instrumental in convincing the new leadership to continue supporting "Invest in Children."

"Engage the private sector and build a brand that will outlast leadership changes."

> - Dr. Rebekah Dorman, Director, Cuyahoga County Invest in Children.

To any other counties looking to replicate this model, Dr. Dorman recommended that, in addition to working closely with public sector leaders, they also engage the private sector and build a brand that will outlast leadership changes. Business leaders will understand the long-term return on investment that the economic research has documented.³⁸ Nevertheless, Dr. Dorman emphasized that partnerships, such as "Invest in Children," succeed best when county leaders give their support and make ECD a priority.



Durham Connects

Durham County, N.C.

Other Entities Involved: Center for Child and Family Health, Duke University's Center for the Child and Family Policy

2016 Population Level: 306.2k **2016 Unemployment Rate:** 4.5%

2016 Average Real Wages, in **2009** Dollars: \$65,182

2015 Child Poverty Rate: 26.0%

Interviewee: Ms. Ashley Alvord, Director of Dissemination and Program Certification, Durham Connects

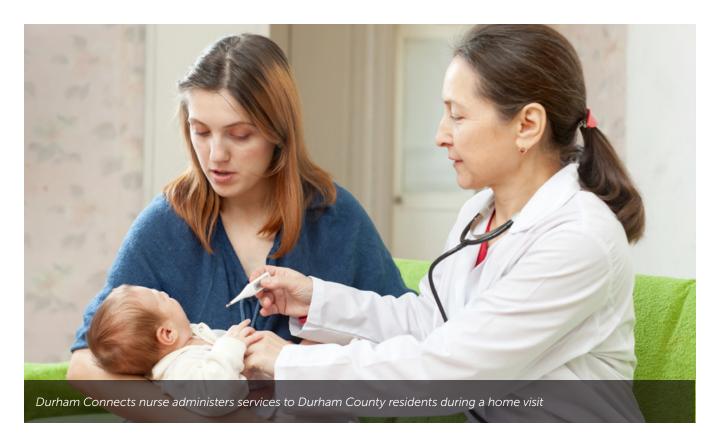


CONTEXT: In the early 2000s, the Duke Endowment, located in Charlotte, North Carolina, decided to dedicate grant funding to addressing the rate of childhood maltreatment in the state. The Endowment approached the Duke Center for Child and Family Policy to develop a program that would specifically target risk factors for child abuse and neglect, and promote ECD by partnering with nonprofits and local government agencies in the county. They wanted to develop a program that was grounded in scientific research on ECD and standardized, so it could be replicated across the country. After several years of development and piloting with iterative improvements, "Durham Connects" was launched in 2008 as a partnership between the Duke Center for Child and Family Policy, the nonprofit Center for Child and Family Health and the Durham County Department of Public Health. This partnership has allowed each entity to leverage its strengths and connections to improve ECD.

SOLUTION: "Durham Connects" is a universal newborn home visiting program, meaning that the program targets the entire county population. As part of the program, every resident county family with a newborn is offered 1-3 home visits, typically between 2-12 weeks of infant age, from a registered nurse located at the Center for Child and Family Health. Through the home visits, nurses connect with mothers of newborns to enhance maternal skills and self-efficacy, to conduct health assessments of both the mother and infant and to assess family risk and needs. The nurses also help connect mothers, as needed, with individually-tailored community services, such as health care, child care, mental health care and financial and social support. Ultimately, these home visits seek to enhance family functioning and promote child health and well-being. "Durham Connects" finds families through a variety of sources, including through hospital birthing centers, OBGYN offices and self-referrals. Although it does not specifically target low-income families, "Durham Connects" still serves a large low-income population, since nearly 17,000 children live in poverty in the county.³⁹

"Durham Connects" is supported by multiple funding sources including The Duke Endowment, Medicaid reimbursements through Durham County's Department of Public Health, the Durham County Commissioners and the North Carolina Department of Health and Human Services. The Center for Child and Family Health also contributes funding it receives from other philanthropic organizations and private donations to "Durham" Connects." The cost per birth for the program ranges from \$500 to \$700. For full implementation across the county, "Durham Connects" would cost approximately \$2.2 million per year, assuming the birth rate remains unchanged at about 3,200 births per year and each birth costs \$700.40

OUTCOMES: Since its inception, "Durham Connects" has proven to be very successful. Results from an 18-month Randomized Controlled Trial (RCT) of "Durham" Connects" indicated that 94 percent of families that received a home visit had at least one nurse-identified need best addressed either by direct nurse education or by connecting the family to community resources and



services for long-term support. 41 These needs may have otherwise gone unidentified in the absence of the program. Durham County has also seen a decrease in the number of child maltreatment cases and in child emergency medical care. Infants exposed to the "Durham" Connects" program had 59 percent fewer emergency medical care episodes than infants not in the program.⁴²

The reductions in emergency medical care are estimated to produce \$3 in savings for every \$1 invested in "Durham Connects." The program has been certified by the federal MIECHV Program as an evidence-based home visiting program, and is now being disseminated to counties across the United States.

Despite the successes of "Durham Connects," the program has faced several challenges. First, securing sustainable funding has been an ongoing challenge. Since some funding comes from donations and endowments, fluctuations in revenue sources can occur during economic downturns. Because home visiting programs in the United States are almost exclusively multi-year programs offered exclusively to low-income families, "Durham Connects" has also run into periodic implementation challenges. Some families did not participate because they thought that the program is offered only

to lower income families, which ultimately discouraged full participation from the community. For other counties wishing to replicate this model, public awareness campaigns are important to encourage full participation.

"Public awareness campaigns are important to encourage full participation."

- Ms. Ashley Alvord, Director of Dissemination and Program Certification, Durham Connects.

Bedford County Unified Family Services System

Bedford County, Pa.

Other Entities Involved: Five independent school districts, one independent public charter school, various businesses and nonprofits in the community, various Pennsylvania state departments

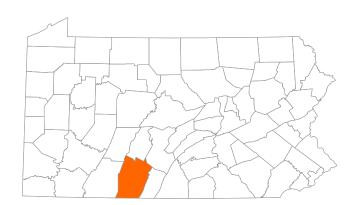
2016 Population Level: 48.3k **2016 Unemployment Rate:** 5.9%

2016 Average Real Wages, in **2009** Dollars: \$36,808

2015 Child Poverty Rate: 19.0%

Interviewee: Lyn Skillington, Executive Director, Unified

Family Services of Bedford County



CONTEXT: In the late 1990s, Bedford County, Pa. launched the "Unified Family Services System" (UFSS) to better coordinate and plan its services to children and families. Bedford County is home to five school districts and one public charter school that operate independently of the county. Led by Bedford County's Collaborative Board, UFSS provides administrative oversight and funding to complement services provided by school districts.

The county's three commissioners sit on the Collaborative Board alongside the five superintendents of Bedford County's independent school districts, the CEO of the county's public charter school and representatives of human services agencies, hospitals, the faith community and private businesses, among other stakeholders, totaling 35-40 members. The Board pulls together these various stakeholders to assess community needs and develop a community action plan that will build on community strengths and fill in gaps in child and family services, which UFSS then implements. The Board also provides training and resources for its partners to implement evidence-based programs under the oversight of UFSS, including those focused on ECD. UFSS looks for programs that have been developed and evaluated elsewhere across the country to implement in Bedford County because, being located in a small county with few resources, UFSS wants to focus all its resources on directly serving residents.

SOLUTION: UFSS oversees three primary programs that all serve children and families across Bedford County: "The Incredible Years," "Raising Healthy Children" and "LifeSkills Training." These three programs are available for all county residents and contribute to breaking the cycle of multigenerational poverty for the nearly 2,000 children living in poverty in Bedford County.44

"The Incredible Years" is a program that was developed by Carolyn Webster-Stratton, currently a professor at the University of Washington, and evaluated in numerous studies.45 The program was developed for two audiences. The first audience is preschool through second grade children (age 2 to 8) in the classroom setting, who are taught 30 to 60 socio-emotional lessons per school year. Some of the lessons include learning how to make friends and follow rules, understanding feelings, problem-solving and other social skills. For students displaying developmental problems, there is an additional 18- to 21-week program. The second audience is the parents of children ages 2 to 6. The parent curriculum typically lasts 10-14 weeks and consists of one two-hour session per week. During these meetings, parents learn about supportive caregiving, child-directed play, communication, monitoring, discipline and building bonds. UFSS began implementing this program in 2008 in collaboration with Bedford County, its school districts, the federal program Head Start and several private day care centers.

"Raising Healthy Children" is a four-year program developed by Richard Catalano of the University of Washington's School of Social Work and also proven effective by various research studies.46 UFSS began this program during the 2006-2007 school year in all Bedford County elementary schools. "Raising Healthy Children" provides parenting workshops for parents of elementary and middle school students, training for all elementary school teachers and social skills training for all elementary school students.

"LifeSkills Training" began in Bedford County during the 2007-2008 school year. This program was developed by Gilbert Botvin, currently a professor at Columbia University, and, like the previous two programs, also evaluated.47 "LifeSkills Training" provides social training for middle and junior high school students through a three-year curriculum aimed at reducing substance abuse and developing student self-esteem. Part of the program includes workshops for parents aimed to strengthen communication between parents and students, so that parents can encourage their children to transition to adulthood successfully.

When planning their initiatives for UFSS, the Collaborative Board uses the "Communities that Care" (CTC) process for planning. CTC is a model that aims at reducing youth drug and alcohol abuse, as well as juvenile crime and violence. It involves the entire community through a youth survey, engages key stakeholders and creates small groups of "catalyst teams" to pioneer the change. Through this process, UFSS assessed Bedford County's resources and needs, and identified which programs would be most effective in serving residents. "The Incredible Years," "Raising Healthy Children" and "LifeSkills Training" were all programs that resulted from the CTC planning process.

UFSS and its programs are funded by contributions from local school districts, the county's Children and Youth Services Department, private businesses in the community and state and federal grants. The county assumes responsibility for seeking out all grants for each program. "The Incredible Years" is funded primarily by a four-year grant for approximately \$600k from the Pennsylvania Commission on Crime and Delinguency. "Raising Healthy Children" and "LifeSkills Training" are also primarily funded by grants from the Pennsylvania Commission on Crime and Delinquency. Aside from these state grants, the state contributes funding in an 80/20 match, meaning that the county covers 80 percent of the cost and the state the other 20 percent.



OUTCOMES: Within the first year of implementation, approximately 5,000 students across Bedford County were exposed to the Collaborative Board's three primary programs, and about 1,300 parents participated in workshops that complemented these programs.⁴⁸

All three programs are models that have been implemented and evaluated across the country. Nationwide, "The Incredible Years" program has resulted in more parent involvement in schools, increased school readiness for young children and better classroom management for teachers.⁴⁹ Children that participated in "Raising Healthy Children" across the U.S. are more likely to have graduated high school, 21 percent less likely to have been in trouble with school authorities and 39 percent less likely to engage heavy drinking than children that did not participate in the program.⁵⁰ Finally, "LifeSkills Training" has resulted in an 87 percent reduction in tobacco use, a 60 percent reduction in alcohol use and a 75 percent reduction in marijuana use for students in the program around the country.51

According to Ms. Lyn Skillington, Executive Director of UFSS, changes in the Collaborative Board's membership have created challenges in maintaining commitment and buy-in. The Collaborative Board has been around for nearly a decade and has experienced inevitable changes in leadership. Each new Collaborative Board member must become acquainted with each program's work and importance so that the programs can all have continual support from the top. Securing funding continues to be another challenge for UFSS, especially since its programs rely a lot on grant funding. Ms. Skillington emphasized the importance of gaining buy-in from all stakeholders for any county wishing to replicate this model.

Ms. Skillington explained that what has helped the success of UFSS is using programs that are backed with evidence-based research from across the country. Since these models have already been tested, Bedford County, and all other stakeholders, can focus their resources and services to implement a strong program, rather than using these resources to come up with and test a new model.

"Using programs backed with evidence-based research has allowed Bedford County to focus its resources on implementation."

Conclusion

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About NACo

The National Association of Counties (NACo) unites America's 3,069 county governments. Founded in 1935, NACo brings county officials together to advocate with a collective voice on national policy, exchange ideas and build new leadership skills, pursue transformational county solutions, enrich the public's understanding of county government and exercise exemplary leadership in public service.

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The NACo Counties Future Lab brings together leading national experts to examine and forecast the trends, innovations and promises of county government with an eye toward positioning America's county leaders for success. Focusing primarily on pressing county governance and management issues - and grounded in analytics, data and knowledge sharing — the Lab delivers research studies, reports and other actionable intelligence to a variety of venues in collaboration with corporate, academic and philanthropic thought leaders to promote the county government of the future.

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