Coordinating a Systems Approach to Behavioral Health and Justice



Photo source for Fulton County Courthouse: https://familysearch.org/learn/wiki/en/Fulton County, Georgia

Fulton County, GA Loews Atlanta Hotel 1065 Peachtree Street NE Atlanta, Georgia, 30309 Hallie Fader-Towe, Program Director CSG Justice Center

National Association of Counties

Justice & Public Safety Symposium

Thursday, January 23, 2014

1:45-3:15pm





- National non-profit, non-partisan membership association of state government officials
- Engages members of all three branches of state government
- Justice Center provides practical, nonpartisan advice informed by the best available evidence



Criminal Justice/Mental Health at the CSG Justice Center





Criminal Justice/Mental Health Learning Sites Program





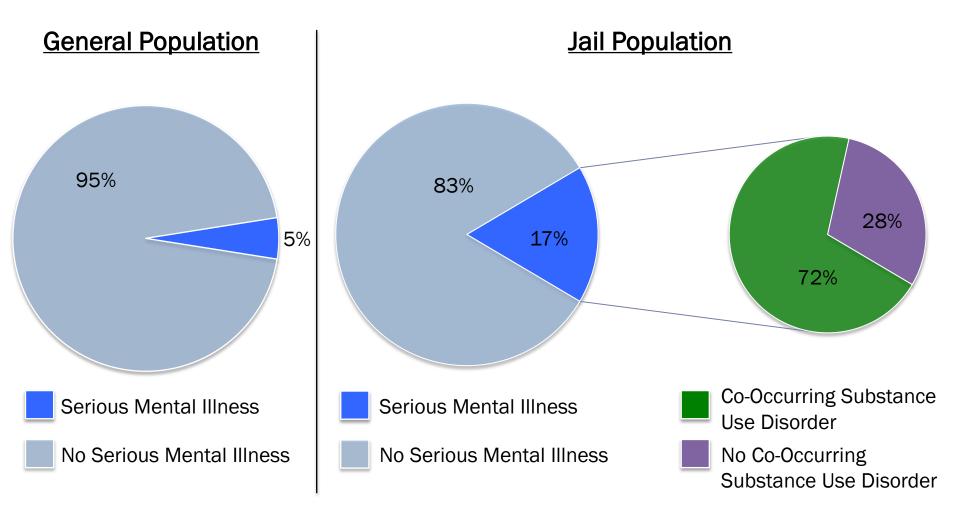


Today's Discussion

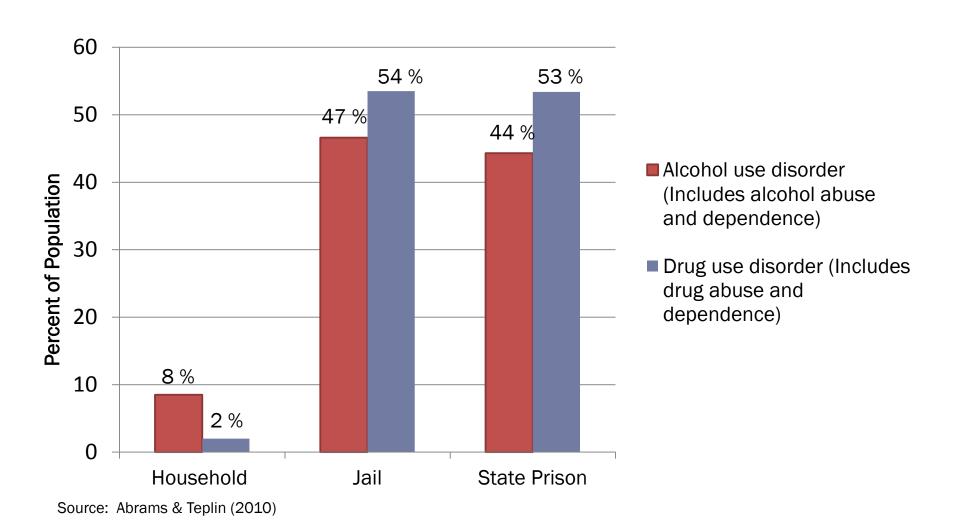
 Behavioral Health Disorders in the Criminal Justice System

- Systems Approaches for Public Safety & Recovery
- County Leadership to Improve Outcomes

Prevalence of Serious Mental Illness and Co-Occurring Disorders in Jail Populations

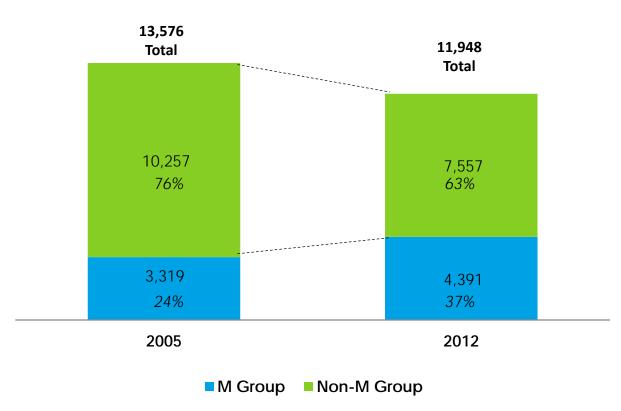


Alcohol and drug use disorders: Significant factor in jail and prisons



Impact on Counties: Why are there more people with mental health needs in Riker's when the jail population is decreasing?





The City of New York Department of Correction

Source:

County officials: "Jails are the wrong place to treat mental illnesses"



"Our jails are increasingly a place of last resort for offenders who are mentally ill. Even as the department's total inmate population continues to fall, this group is unable to get out or stay out."

Commissioner Dora Schriro,
 Department of Corrections, New York,
 NY



"[There is] a growing number of mentally ill inmates housed in general population quarters as well as a[n] increase in suicides...A jail that can adequately treat those offenders is a better investment."

Assistant Los Angeles County
 Sheriff Terri McDonald, Los Angeles,
 CA



"I would welcome the chance to take all of our mentally ill and medically challenged inmates...and put them somewhere they could get programming, but I haven't heard anyone stepping up to do that."

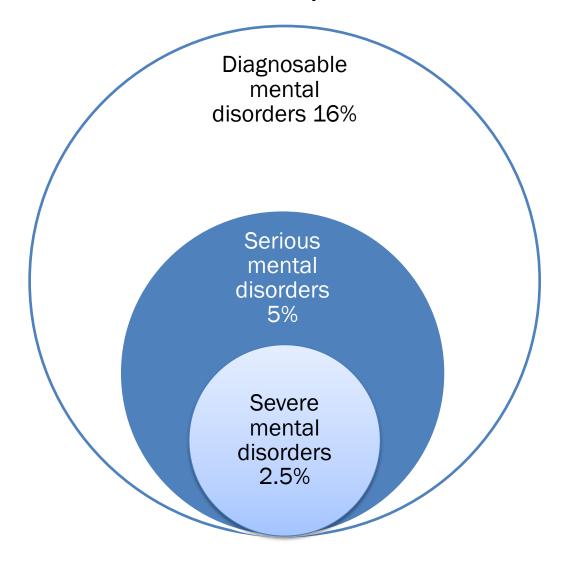
 Sheriff David Mahoney, Dane County, WI



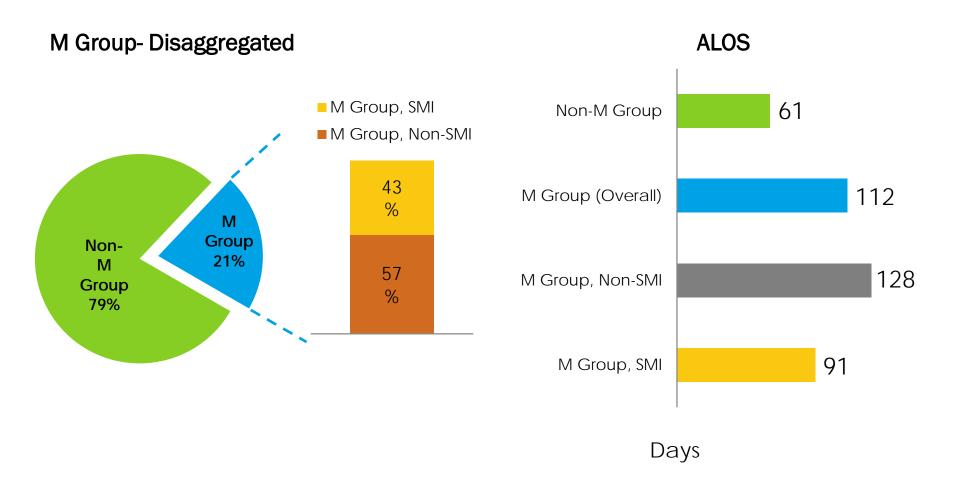
"In every city and state I have visited, the jails have become the de facto mental institutions...there are not enough resources out there to care for them [mentally ill]."

-- Sheriff Tom Dart, Cook County, IL

Not all Mental Illnesses are Alike: Mental Illness in the General Population



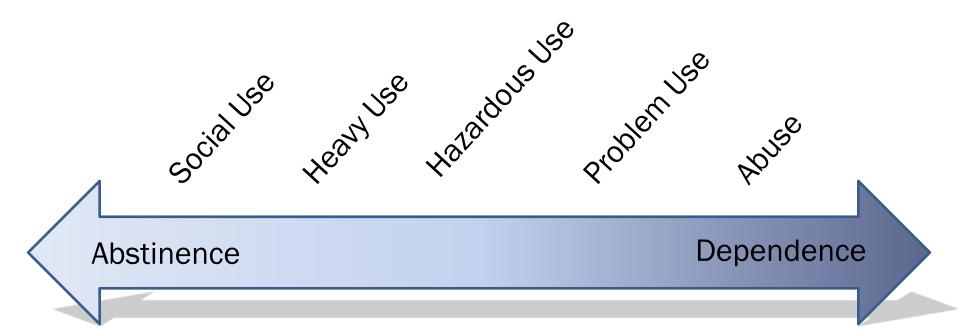
Not All Mental Illnesses are Alike: NYC Case Study



Source:

The City of New York Department of Correction & New York City Department of Health and Mental Hygiene 2008 Department of Correction Admission Cohort with Length of Stay > 3 Days (First 2008 Admission)

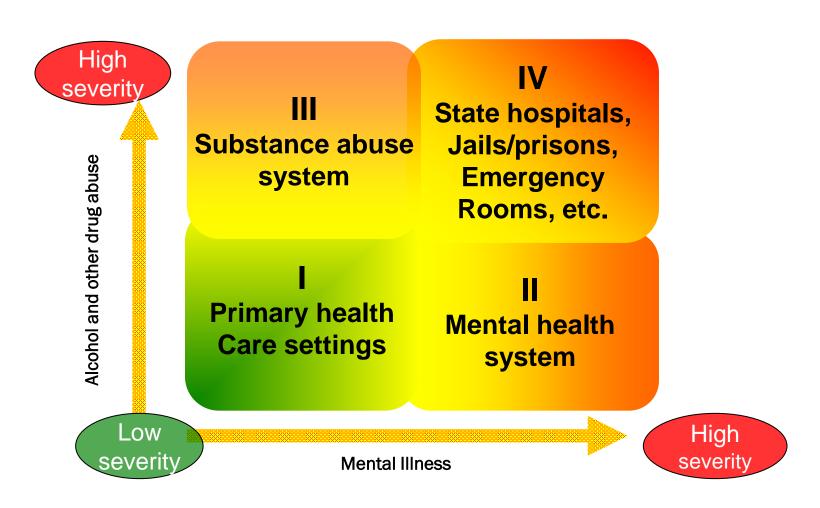
Not all Substance Use Disorders are Alike



The Substance Abuse Continuum

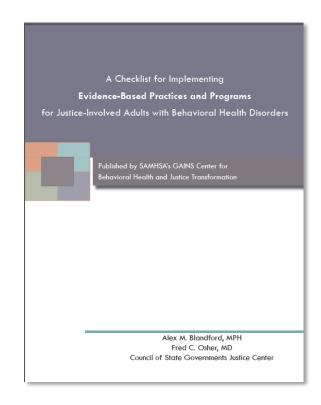
Framework for Addressing Population with Co-occurring MH & SU Disorders

(NASMHPD-NASADAD, 2002)



We increasingly know "what works"

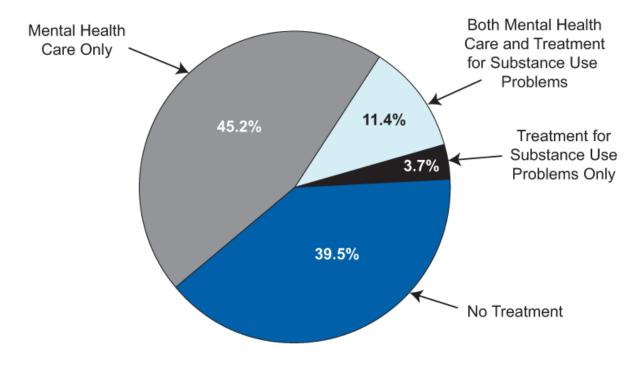
- Case management
 - E.g. Forensic Intensive Case Management (FICM), Forensic Assertive Community Treatment (FACT), and Assertive Community Treatment (ACT)
- Supportive housing
- Peer support
- Accessible and appropriate medication
- Supported employment
- Cognitive behavioral interventions targeted to criminogenic risk factors
- Integrated Dual Diagnosis Treatment (IDDT)





The right treatment rarely happens. . .

Past Year Mental Health Care and Treatment for Adults Aged 18 or Older with Both Serious Mental Illness and Substance Use Disorder

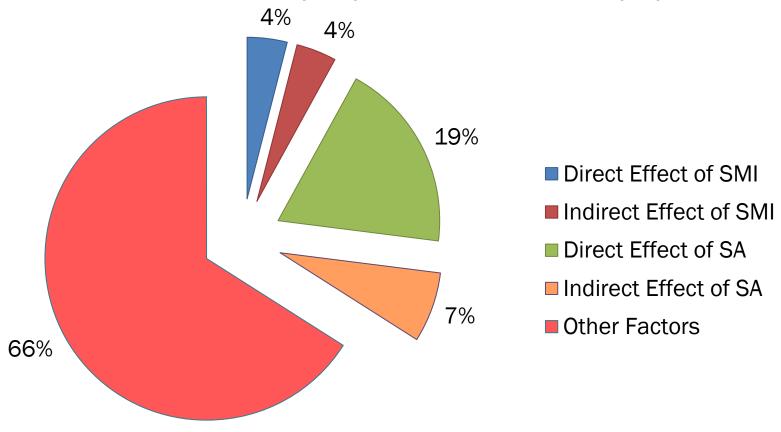


2.5 Million Adults with Co-Occurring SMI and Substance Use Disorder

Source: NSDUH (2008)

... But even that isn't enough

How likely is it that the inmates' offenses were a result of serious mental illness (SMI) or substance abuse (SA)?



Source: Junginger, Claypoole, Laygo, & Cristina (2006)

Recidivism Is Not Simply a Product of Mental Illness: <u>Criminogenic Risk</u>

Risk:

- ≠ Crime type
- − ≠ Dangerousness
- ≠ Failure to appear
- ≠ Sentence or disposition
- – ≠ Custody or security classification level

Risk = How likely is a person to commit a crime or violate the conditions of supervision?

Using Criminogenic Risk To Sort Makes A Big Difference in Recidivism Reduction Outcomes

Low Risk
+ 3 %

Average Difference in Recidivism by Risk for Ohio Halfway House Offenders



Without Assessing Risk of Re-Offending...

High

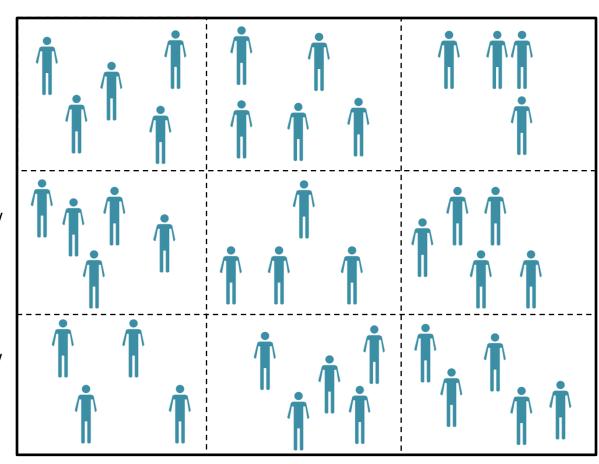
Supervision/ Program Intensity

Moderate

Supervision/ Program Intensity

Low

Supervision/ Program Intensity



Assess for Risk of Re-Offending

High

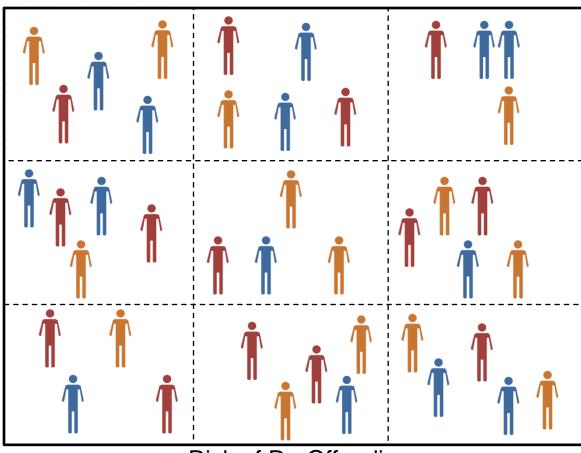
Supervision/ Program Intensity

Moderate

Supervision/ Program Intensity

Low

Supervision/ Program Intensity



Risk of Re-Offending

LOW RISK 10% re-arrested **MODERATE RISK** 35% re-arrested

HIGH RISK 70% re-arrested

Typically 1/3 of the population

Typically 1/3 of the population Typically 1/3 of the population

Sort Based on Risk; Supervise Accordingly

High

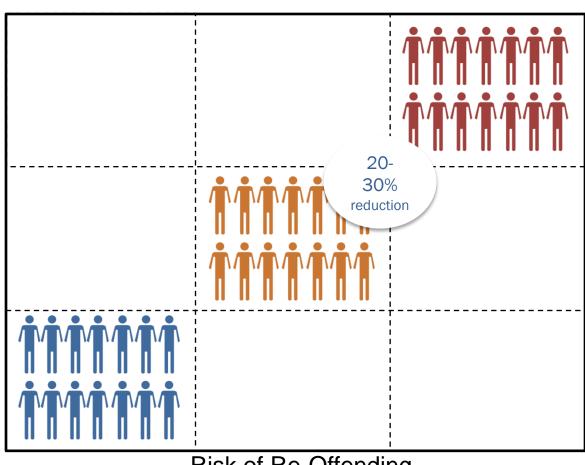
Supervision/ Program Intensity

Moderate

Supervision/ Program Intensity

Low

Supervision/ Program Intensity



Risk of Re-Offending

LOW RISK 10% re-arrested **MODERATE RISK** 35% re-arrested

HIGH RISK 70% re-arrested

Typically 1/3 of the population

Typically 1/3 of the population Typically 1/3 of the population

Poor Recidivism Results When Risk Principle Not Applied

High

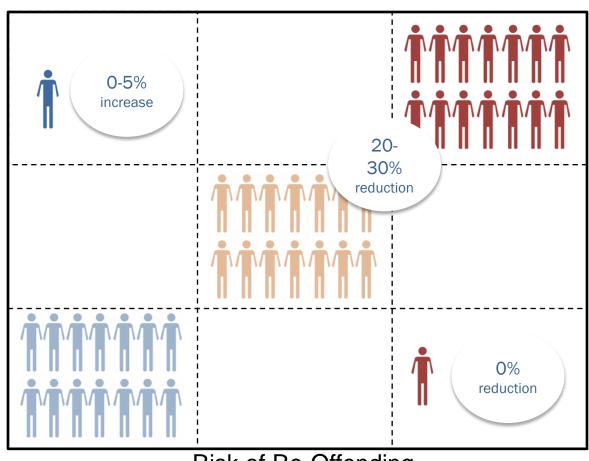
Supervision/ Program Intensity

Moderate

Supervision/ Program Intensity

Low

Supervision/ Program Intensity



Risk of Re-Offending

LOW RISK 10% re-arrested

MODERATE RISK 35% re-arrested

HIGH RISK 70% re-arrested

Typically 1/3 of the population

Typically 1/3 of the population Typically 1/3 of the population

Risk-Need-Responsivity Model as a Guide to Best Practices

- RISK PRINCIPLE: Match the intensity of individual's intervention to their risk of reoffending
- <u>NEEDS PRINCIPLE:</u> Target criminogenic needs, such as antisocial behavior, substance abuse, antisocial attitudes, and criminogenic peers
- RESPONSIVITY PRINCIPLE: Tailor the intervention to the learning style, motivation, culture, demographics, and abilities of the offender. Address the issues that affect responsivity (e.g., mental illnesses)

What Do We Measure to Determine Criminogenic Risk?

Conditions of an individual's behavior that are associated with the risk of committing a crime.

Static factors – Unchanging conditions

Dynamic factors – Conditions that change over time and are amenable to treatment interventions

How has Behavioral Health Addressed Dynamic Risk Factors?

Static Risk Factors

Criminal history

number of arrests

number of convictions

type of offenses

Current charges

Age at first arrest

Current age

Gender

Dynamic Risk Factors

Antisocial behavior

Antisocial attitudes

Antisocial cognitions

Antisocial personality pattern

Substance abuse

Family and/or marital factors

Lack of education/poor employment history

Lack of pro-social leisure activities

Risk-Need-Responsivity Model as a Guide to Best Practices

- RISK PRINCIPLE: Match the intensity of individual's intervention to their risk of reoffending
- <u>NEEDS PRINCIPLE</u>: Target criminogenic needs, such as antisocial behavior, substance abuse, antisocial attitudes, and criminogenic peers
- RESPONSIVITY PRINCIPLE: Tailor the intervention to the learning style, motivation, culture, demographics, and abilities of the offender. Address the issues that affect responsivity (e.g., mental illnesses)

Addressing Criminogenic Risk Factors

Individual Risk Factors for Criminal Recidivism

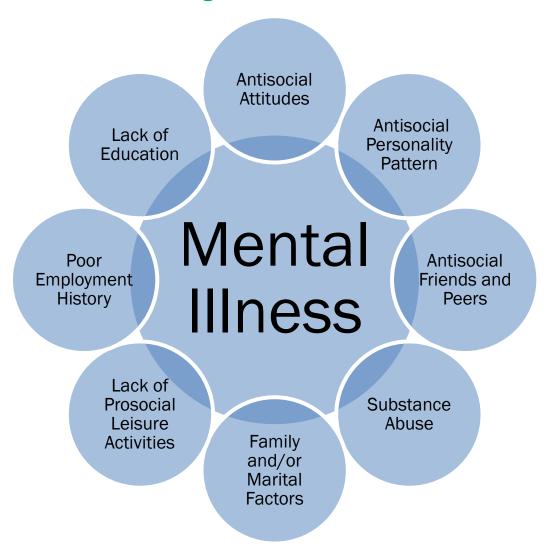
| Risk Factor | Need |
|--------------------------------------|---|
| History of antisocial behavior | Build alternative behaviors |
| Antisocial personality pattern | Problem solving skills, anger management |
| Antisocial cognition | Develop less risky thinking |
| Antisocial attitudes | Reduce association with criminal others |
| Family and/or marital discord | Reduce conflict, build positive relationships |
| Poor school and/or work performance | Enhance performance, rewards |
| Few leisure or recreation activities | Enhance outside involvement |
| Substance abuse | Reduce use through integrated treatment |

Source: Andrews (2006)

Risk-Need-Responsivity Model as a Guide to Best Practices

- RISK PRINCIPLE: Match the intensity of individual's intervention to their risk of reoffending
- <u>NEEDS PRINCIPLE:</u> Target criminogenic needs, such as antisocial behavior, substance abuse, antisocial attitudes, and criminogenic peers
- <u>RESPONSIVITY PRINCIPLE:</u> Tailor the intervention to the learning style, motivation, culture, demographics, and abilities of the offender. Address the issues that affect responsivity (e.g., mental illnesses)

Responsivity: You can't address dynamic risk factors without attending to mental illness



Risk-Need-Responsivity Model as a Guide to Best Practices

- Focus resources on high <u>RISK</u> cases
- Target criminogenic <u>NEEDS</u>, such as antisocial behavior, substance abuse, antisocial attitudes, and criminogenic peers
- <u>RESPONSIVITY</u> Tailor the intervention to the learning style, motivation, culture, demographics, and abilities of the offender. Address the issues that affect responsivity (e.g., mental illnesses)

Creating Cross-System Collaboration

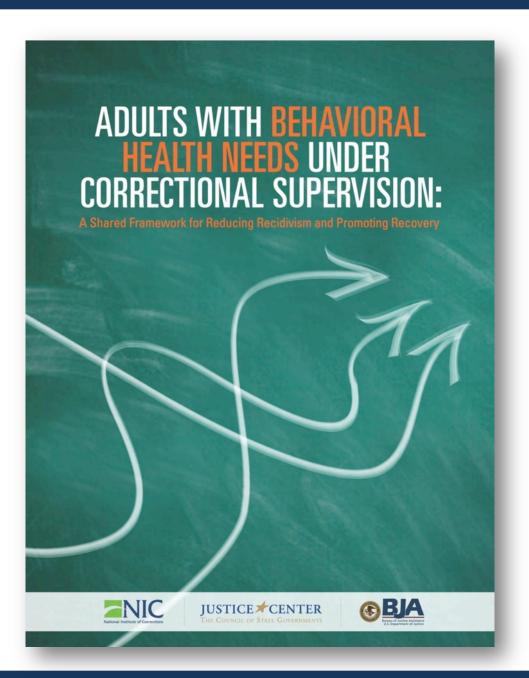
What Works in Mental Health Treatment

What Works in Substance Abuse Treatment

Behavioral Health Framework What Works in Recidivism Reduction

Why a Shared Framework Was Needed

- Develop a shared language around the risk of criminal activity and public health needs
- Integrate the best practices in mental health treatment, substance abuse treatment, and recidivism reduction
- Help system administrators allocate scarce resources more wisely
- Maximize the impact of interventions on public safety and public health

















Criminogenic Risk and Behavioral Health Needs Framework

Low Criminogenic Risk (low)

Medium to High Criminogenic Risk (med/high)

Low Severity of Substance Abuse (low)

Substance Dependence (med/high)

Low Severity of Substance Abuse (low)

Substance Dependence (med/high)

Low Severity of Mental Illness (low) Serious Mental Illness

(med/high

Low Severity of Mental Illness (low) Serious Mental Illness (med/high

Low Severity of Mental Illness (low) Serious Mental Illness (med/high

Low Severity of Mental Illness (low) Serious Mental Illness

(med/high

Group 1

I – L CR: low SA: low MH: low Group 2

II – L CR: low SA: low MH: med/high Group 3

III – L CR: low SA: med/high MH: low Group 4

IV – L CR: low SA: med/high MH: med/high Group 5 V – H

CR: med/high SA: low MH: low Group 6

VI – H CR: med/high SA: low MH: med/high Group 7 VII – H

CR: med/high SA: med/high MH: low Group 8 VIII - H

CR: med/high SA: med/high MH:

med/high



High Criminogenic Risk with High Behavioral Health Treatment Needs

Group 6

CR: MED/HIGH
SA: LOW
MI: MED/HIGH
MI: LOW
Group 7

CR: MED/HIGH
CR: MED/HIGH
SA: MED/HIGH
MI: LOW
MI: MED/HIGH

- Priority population for corrections staff time and treatment
- Intensive supervision and monitoring; use of specialized caseloads when available
- Access to effective treatments and supports
- Enrollment in interventions targeting criminogenic need including cognitive behavioral therapies

Low Criminogenic Risk with High Behavioral Health Treatment Need

Group 2

CR: LOW

MI: MED/HIGH

Group 3

CR: LOW
SA: MED/HIGH

MI: LOW

Group 4

CR: LOW

SA: MED/HIGH

MI: MED/HIGH

- Less intensive supervision and monitoring based
- Separation from high-risk populations
- Access to effective treatments and supports
- Officers to spend less time with these individuals and to promote case management and services over revocations for technical violations and/or behavioral health-related issues.

Low Criminogenic Risk Without Significant Behavioral Health Disorders

Group 1

CR: LOW

SA: LOW

MI: LOW

- Lowest priority for services and treatment programs.
- Low intensity supervision and monitoring.
- When possible, separated from high-risk populations in correctional facility programming and/or when under community supervision programming.
- Referrals to behavioral health providers as the need arises to meet targeted treatment needs.

Developing Effective Interventions for Each Subgroup

Grouping Group 2 Group 3 Group 1 Group 4 Group 5 Group 7 Group 6 Group 8 based on combinations | CR: LOW CR: LOW CR: LOW CR: LOW CR: MED/HIGH CR: MED/HIGH CR: MED/HIGH CR: MED/HIGH of all SA: LOW SA: LOW SA: MED/HIGH SA: MED/HIGH SA: LOW SA: LOW SA: MED/HIGH SA: MED/HIGH three MI: MED/HIGH MI: MED/HIGH MI: LOW MI: MED/HIGH MI: LOW MI: LOW MI: LOW MI: MED/HIGH measures

It is assumed these responses will:

- Incorporate EBPs and promising approaches
- Be implemented with high fidelity to the model
- Undergo ongoing testing/evaluation

County officials: "Jails are the wrong place to treat mental illnesses"



"Our jails are increasingly a place of last resort for offenders who are mentally ill. Even as the department's total inmate population continues to fall, this group is unable to get out or stay out."

Commissioner Dora Schriro,
 Department of Corrections, New York,
 NY



"[There is] a growing number of mentally ill inmates housed in general population quarters as well as a[n] increase in suicides...A jail that can adequately treat those offenders is a better investment."

Assistant Los Angeles County
 Sheriff Terri McDonald, Los Angeles,
 CA



"I would welcome the chance to take all of our mentally ill and medically challenged inmates...and put them somewhere they could get programming, but I haven't heard anyone stepping up to do that."

 Sheriff David Mahoney, Dane County, WI



"In every city and state I have visited, the jails have become the de facto mental institutions...there are not enough resources out there to care for them [mentally ill]."

-- Sheriff Tom Dart, Cook County, IL

Leadership by local government in NYC

New York's Citywide Justice & Mental Health Initiative









"Five Boroughs, One City"

Citywide Stakeholders

- Mayor's Office: Deputy Mayors for Health & Human Services, Criminal Justice Coordinator & Senior Policy Advisor
- City Council
- Dept. of Correction
- Dept. of Health & Mental Hygiene
- Dept. of Probation
- Dept. of Housing
- Dept. of Homeless Services
- Dept. of Human Resources and Administration/Dept. of Social Services
- Administration for Children's Services
- NYPD

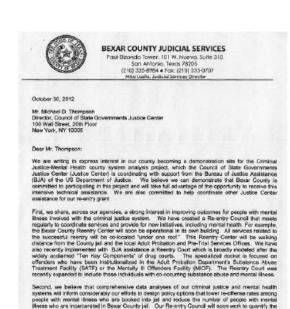
County-specific Stakeholders

- Judges from each borough (+ State Chief of Policy & Planning)
- Elected District Attorneys from each borough
- Contracted public defenders
- Community-based treatment providers
- Community-based alternatives to incarceration (ATIs)

State-level stakeholders

- Office of the Governor
- Office of Mental Health
- Office of Court Administration
- House of Representatives

County Support in Bexar County (TX)



legal, supportive service, courseling, health care, law enforcement, and other intervention needs of the offender and family. The proposed county system flow analysis can help the council on this effort.

Appeldes Public Delender Cifice « Cord Collections » Cryms Meinig of on Laborators » Indigent Delense Altomey Appointment « Medical Booms et) « Population import Cortinal limit » material desides of likes » Specially Ceres » Capyline Bestelline.



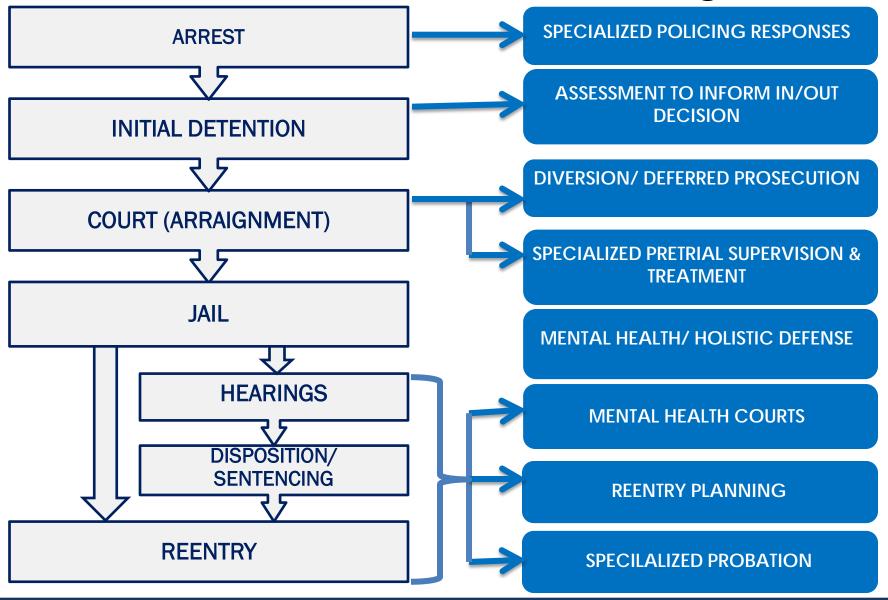
- County Commission
- County Management
- Sheriff
- District Attorney
- Public Defender
- Courts
- Community Mental Health
- Judicial Services (Pretrial & Probation)
- Community Substance Abuse
- Local Law Enforcement







Innovative CJ/MH Collaborative Programs



Initiatives from Arrest through Reentry







CIT

75

ARREST

7 5

INITIAL DETENTION

COURT (ARRAIGNMENT)

JAIL

DISPOSITION/ SENTENCING

HEARINGS

REENTRY

Manhattan Arraignment Diversion Project (MAP)

Court-Based Intervention & Resource Teams (CIRTs):

- Post-arraignment alternatives to detention
- Alternatives to incarceration
- Reentry planning

Problem-solving courts

CIT Crisis Receiving Center

Screening at magistration

Pretrial Improvement Project

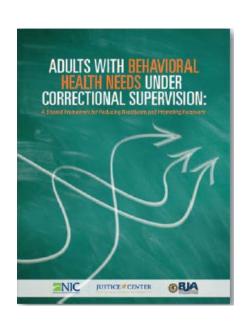
Municipal Court
Specialized Dockets

Problem-solving courts

ORAS for PSIs

Risk-based probation

Going from Principles to Policy and Practice



Instruments to identify levels of need in three areas

Information-sharing processes

Decision-makers to use information about need

Diverse options for treatment, supervision, case management to address different needs

Collaboration, IT, training, data collection, performance measurement





Mayor announces the allocation of nearly \$10 million to create "Court-based Intervention and Resource Teams" (CIRTs) to serve over 3,000 clients with mental health needs annually

Information Available to Decision Makers

Dispositional Options

Criminal Charge

+

Failure to Appear Risk Assessment

+

Mental Health Indicator

+

Criminogenic Risk Assessment

+

Substance Abuse Indicator



Intervention Team" (CIRT)

Cash Bail

ROR

Incarceration

Alternative to Detention & Alternatives to Incarceration

 Additional capacity for pretrial supervision & community-based treatment in every borough

Framework Implementation Challenges

- Assessing risk and behavioral health needs soon after someone is charged with a crime
- Packaging assessment results for decision-makers and sharing this information appropriately
- Using information to inform services and supervision provided
- Encouraging treatment providers and supervising agents to serve "high risk" populations
- Ensuring treatment system has capacity/skills to serve populations they would not otherwise see as a priority population

Opportunities for Counties







- Bring together the right people
- Understand how your system currently works
 - Screening? Assessment?
 - For what?
 - When?
 - Data
- Frank conversations about system goals, priorities, and resources
- Plan for implementation
- Stay in touch

Thank you!!!

Hallie Fader-Towe hfader@csg.org





www.csgjusticecenter.org

This presentation was prepared by the Council of State Governments Justice Center.

Presentations are not externally reviewed for form or content. The statements reflect the views of the authors and should not be considered the official position of the CSG Justice Center or the members of the Council of State Governments.

© 2014 Council of State Governments Justice Center